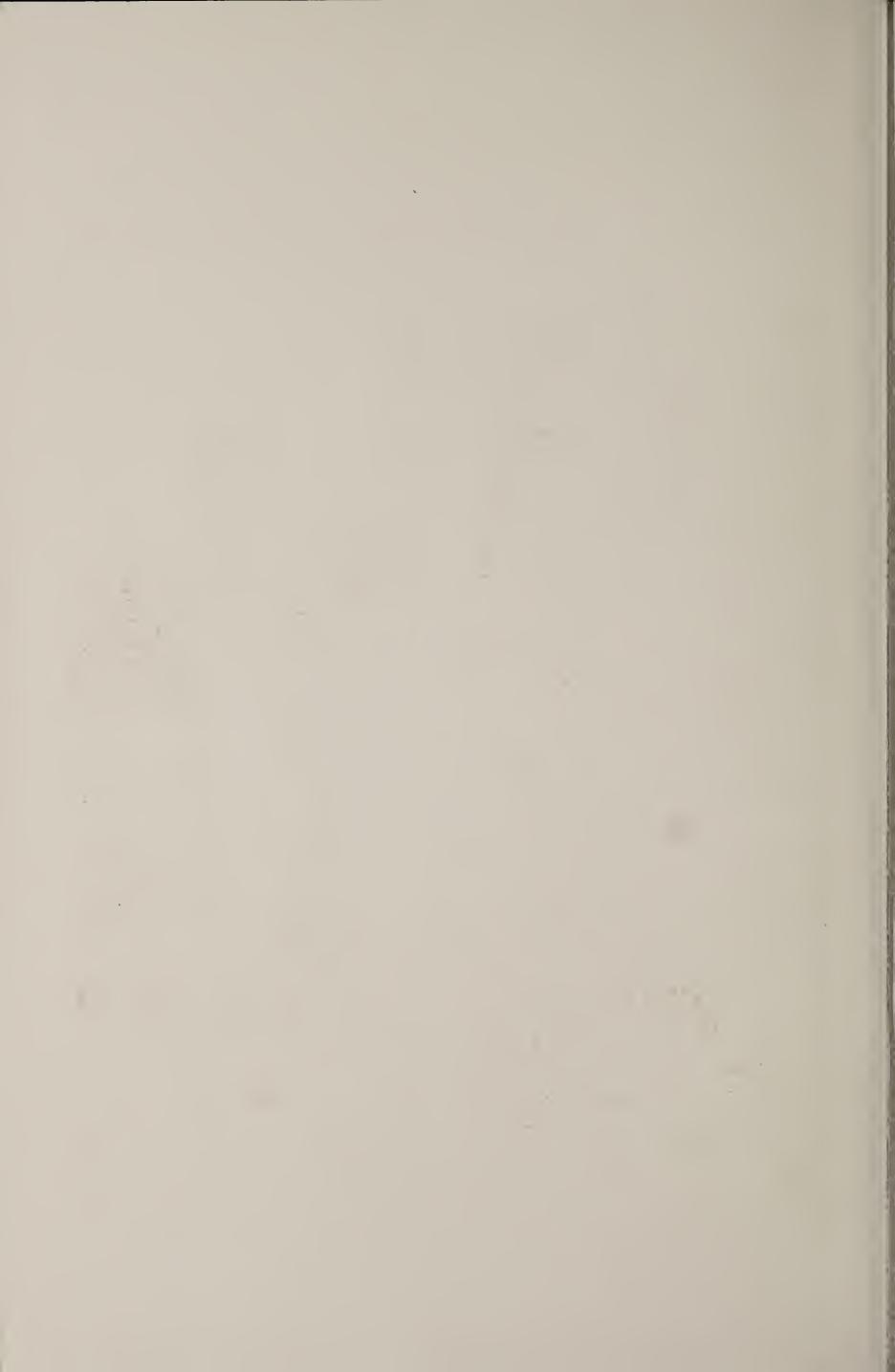
# SANTA CLARA

**GRADUATE SCHOOL OF BUSINESS & ADMINISTRATION** 



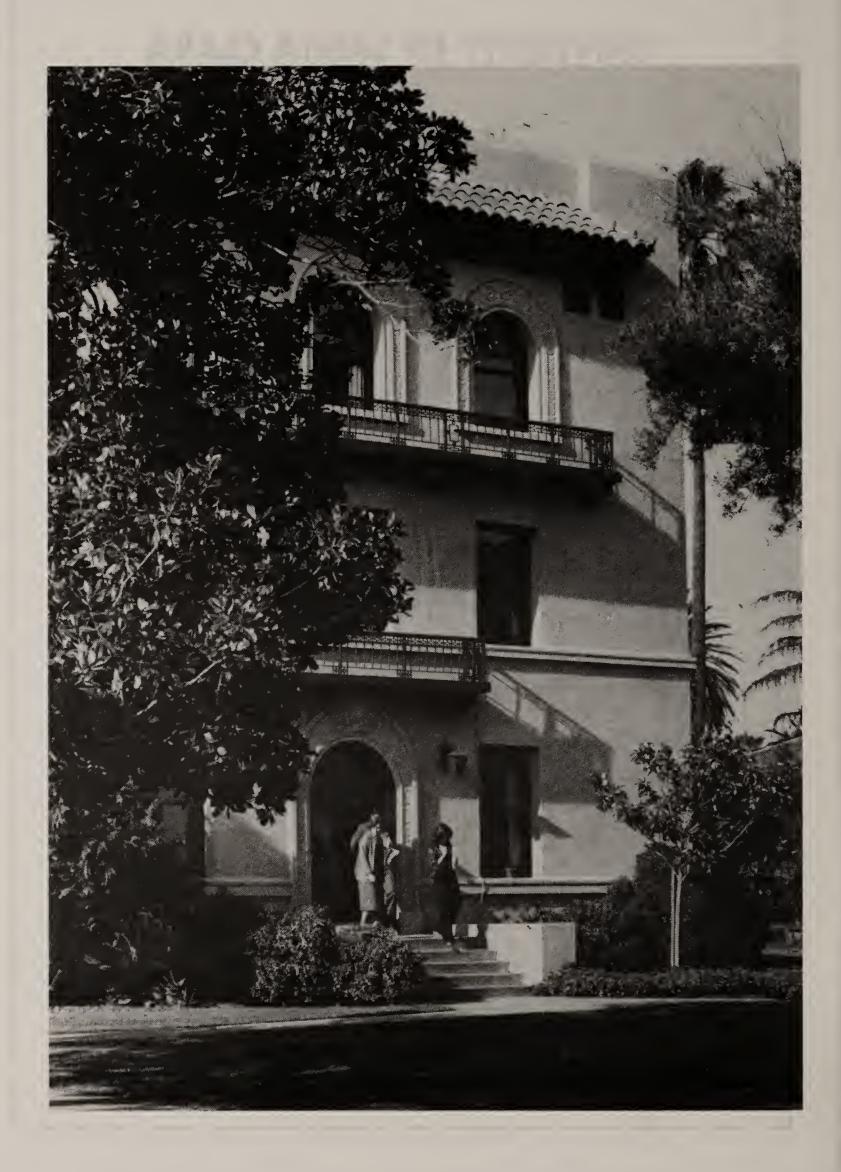


### **UNIVERSITY OF SANTA CLARA**



## GRADUATE SCHOOL OF BUSINESS AND ADMINISTRATION 1980-81

PUBLISHED BY THE UNIVERSITY OF SANTA CLARA SANTA CLARA, CALIFORNIA 95053



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### **UNIVERSITY OF SANTA CLARA GRADUATE SCHOOL OF BUSINESS CALENDAR 1980-1981**

### Fall Quarter 1980-81

| September 1      | Monday           | Labor Day, Administrative Holiday  |
|------------------|------------------|--|
| September 17     | Wednesday        |  |
| September 22     | Monday           | •  |
| September 25     | •                | Last day for late registration and the adding of courses                               |
| October 7        | Tuesday          | Last day to petition for graduate degrees to be conferred December 1980 and March 1981 |
| October 9 (a.m.) | Tuesday          | Last day to withdraw from courses with partial refund                                  |
| October 31       | Friday           | Last day to file petitions for January Ph.D. screening examinations                    |
| November 17      | Monday           | Last day to withdraw from courses  |
| November 27-28   |                  | Thanksgiving Recess, academic and administrative holidays                              |
| December 1-3     | Monday-Wednesday | Fall Quarter Examinations  |
| December 8       | Monday           | Fall Quarter grades due 5:00 P.M.  |
| December 25-26   | Thursday-Friday  | Christmas, administrative holiday  |
|                  | Winter Quarte    | r 1980-81  |

| December 15       | Monday           | M.B.A. Registration                  |
|-------------------|------------------|--------------------------------------|
| January 1-2       |                  | New Year's, administrative holiday   |
| January 5         | Monday           | Instruction begins                   |
| January 8         | Thursday         | Last day for late registration and   |
|                   | ,                | adding of courses                    |
| January 14        | Wednesday        | Last day for fulfilling language     |
|                   |                  | requirements for the Ph.D. degree    |
| January 15        | Thursday         | Martin Luther King's Birthday,       |
|                   |                  | academic and administrative holiday  |
| January 14        | Wednesday        | Last day to petition for graduate    |
|                   |                  | degrees to be conferred in June 1981 |
| January 16        | Friday           | Winter Ph.D. screening examinations  |
| January 22 (a.m.) | Thursday         | Last day to withdraw from courses    |
|                   |                  | with partial refund                  |
| February 16       | Monday           | Presidents' Day, academic and        |
|                   |                  | administrative holiday               |
| March 2           | Monday           | Last day to withdraw from courses    |
| March 11-13       | Wednesday-Friday | Winter Quarter examinations          |
| March 16          | Monday           | Winter Quarter grades due 5:00 P.M.  |

### **Spring Quarter 1980-81**

| March 24<br>March 30 | Tuesday            | Instruction begins   |
|----------------------|--------------------|--|
| April 2              | Inursday           | Last day for late registration and the adding of courses   |
| April 13             | Monday             | Last day for filing with the graduate committee final draft of theses for graduate degrees to be conferred |
|                      |                    | June 1981  |
| April 13             | Monday             | Last day to file petition for Ph.D. screening examinations   |
| April 16 (a.m.)      | Thursday           | Last day to withdraw from courses with partial refund  |
| April 17             | Friday             | Good Friday, academic and  |
|                      |                    | administrative holiday   |
| May 21               | •                  | Last day to withdraw from courses  |
| May 25               | Monday             | Memorial Day, academic and   |
|                      |                    | administrative holiday   |
| June 3-5             | •                  | Spring Quarter examinations  |
| June 8               |                    | Spring Quarter grades due 5:00 P.M.  |
| June 11              | Thursday           | 130th Commencement   |
|                      | Summer Session     | on 1980-81   |
| June 12              | Friday             | M.B.A. Registration  |
| June 15              | Monday             |  |
| June 16              |                    | Last day for late registration and the adding of courses   |
| June 19              | Friday             | Last day to petition for graduate  |
|                      |                    | degrees to be conferred in   |
|                      |                    | August, 1981   |
| June 19              | Friday             | Ph.D. Screening Examinations   |
| July 2               | Thursday           | Last day to withdraw from courses with partial refund  |
| July 3-4             | Friday-Saturday    | Independence Day, academic and administrative holiday  |
| July 20              | Monday             | Last day to withdraw from courses  |
| August 5-6           | Wednesday-Thursday | Summer Session examinations  |
| August 10            | Monday             | Summer Session grades due 9:00 A.M.  |



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#### A MESSAGE FROM THE DEAN

We are proud of the reputation for excellence which the M.B.A. Program enjoys with business, industry, and other major organizations. Few graduate schools of our size can boast of more distinguished alumni and they, and they alone, testify to the quality of faculty and program.

Like all schools with fine reputations, the Graduate School of Business and Administration at the University of Santa Clara has its own special personality. It emphasizes a generalist, multidisciplinary orientation. As such, it concerns itself with the interplay between managerial and policy-level decision making within the organization. It seeks to avoid a narrow technical focus. Our Mission traditions commit us to examine values and ethics as they relate to organizational decision making, so that the human impact of decisions is not lost. At the same time, analytical rigor is not sacrificed.

We do not accept individuals into the M.B.A. Program without manifest managerial leadership potential. Moreover, we do not appoint faculty without a demonstrated commitment to developing leaders. A strong student body combined with a committed faculty constitute a true collegium for learning.

Our commitment is to develop leaders who will guide their organizations not only to a position of competitive strength and professional excellence but to ones which contribute creatively to society and mankind. If this policy-oriented approach to business education is appealing, we encourage you to join the Mission community in a master's program of distinction.

Andre L. Delbecq

## THE UNIVERSITY OF SANTA CLARA — A LONG TRADITION OF EXCELLENCE

On January 12, 1777, six months after the signing of the Declaration of Independence, a cross was planted at a site in the present city of Santa Clara by a Spanish Franciscan Padre, Tomas de la Pena, to mark the founding of the eighth of California's original twenty-one missions, Santa Clara de Assis.

Three quarters of a century elapsed before the University of Santa Clara or Santa Clara College as it was first known, opened its doors as an institution of higher learning. In the intervening years, however, the Mission served as a spiritual center and school for the Indians. Besides religious instruction, the men were taught stock raising, farming, and the building trades; the girls, weaving and sewing; the boys, reading, music, and religious drama. From 1777 until Mexican government secularization, February 27, 1837, some nine thousand persons were baptized at the Mission.

During the early period, the less solidly built Mission buildings of the first and second sites were destroyed by the flooding waters of the Rio Guadalupe. The third Mission Church, of adobe, was completed in 1784 but was seriously damaged by earthquakes in 1812 and 1818. A fourth church, likewise of adobe, was used temporarily from 1819 to 1825. The larger fifth Mission with its quadrangle patio, also of adobe, was completed and dedicated August 12, 1825. The University's Adobe Lodge Faculty Club is all that remains of the west wing of that quadrangle.

The first site of Mission Santa Clara is marked by a California State historical landmark, located near the intersection of Kifer Road and De la Cruz Boulevard. Crosses mark the second site at De la Cruz Boulevard and Martin Street, and the third site at Campbell and Franklin Streets. The fourth Mission Church stood in the area between Kenna and Delia Walsh Administration Building on the University campus today.

During the first three decades of the nineteenth century, the old Mission enjoyed its most fruitful years. In 1827, well over fourteen-hundred Indians lived within sound of the Mission's bells. In that year, some 15,500 sheep, 14,500 head of cattle, and abundant crops of wheat, corn, and beans were produced and cared for by the Indians under the Padres' guidance.

A combination of factors terminated the decades of prosperity at Santa Clara and the other California Missions. The Mexican War of Independence brought turmoil from 1810 to 1821 with resultant decrease of Franciscan personnel and donations in aid from benefactors. The new Mexican government took possession of the old Jesuit Pious Fund of the Californias that had been the main source of support for the Mission. Most of the Indians' lands, cattle, and sheep became the object of spoliation by civil administrators.

In 1827, and again in 1829, governmental decrees ordered exile for all Spaniards who refused allegiance to the new regime. Since most of the Mission Padres were from Spain, many chose banishment. Some, however, remained until 1833, when Mexican Franciscan replacements arrived from the missionary college of Zacatecas. Among them was Fray Francisco Garcia Diego y Moreno who was to become the first bishop of the Californias. Although committed to the welfare of the Indians, the Padres' resistance to governmental encroachment upon the Indians' rights and property had little effect. Finally came full secularization of Mission properties, imposed at Mission Santa Clara in early 1837. This ended the effectiveness of the Franciscan missionary endeavor in Alta California. Within a few years, the Mission buildings and the Indian lands, cattle, and sheep fell to the possession of the civil officials and their friends.

#### **New People, New Ways**

In the early 1840's, a new people and a new way of life came to Alta California. Most of the immigrants were Anglo-Americans, attracted by the rich lands of the Santa Clara Valley. In 1848, the Treaty of Guadalupe Hidalgo ceded California to the United States. Statehood was granted in 1850.

It was in this setting that Santa Clara opened its classroom doors in May of 1851. The new Bishop of San Francisco, Joseph Sadoc Alemany, asked Jesuits Michael Accolti and John Nobili, formerly of the Oregon Missions, to open a college at Mission Santa Clara. During its first complete academic year, 1851-52, Father Nobili and a handful of Jesuit and lay teachers offered instruction in a variety of subjects to approximately 40 students. A decision made in 1854 by the Jesuit Province of Turin, Italy, to adopt California as a permanent mission field marked a turning point in Santa Clara's history. As a consequence, the Jesuits of Turin provided the college with the faculty and support that it needed to grow. The following year Santa Clara College received a charter of incorporation from the State of California.\*In 1857, the college conferred its first collegiate degree, a bachelor of arts diploma to Thomas I. Bergin. This was the first diploma granted by any institution of higher learning in the State of California. By 1858 new scientific apparatus arrived from Paris and integrated courses in science as well as in the classics and in commercial subjects were offered.

Slow and steady growth followed and distinguished graduates became prominent members of California life. However, it was not until 1912 that the Schools of Law and Engineering were founded. In that same year courses in the Humanities and the Sciences were expanded, too, and the college became the University of Santa Clara. Meeting the demands of urban growth in the Santa Clara Valley, courses in commerce and finance were also amplified in 1926, and the University's School of Business Administration began. In that same year the old Mission Church was destroyed by fire. The present structure, an enlarged replica of the original, was completed in 1928.

From the 1930's through World War II, the University's enrollment was relatively stable. With the return of many war veterans came an enlarged student body, new resources, and an expanded curriculum that led Santa Clara into an era of rapid growth and development. In 1947, for the first time in the University's history, enrollment broke the one-thousand mark. From the postwar period to the present, the face of the campus has been changing and expanding. In 1961 the University announced a major change in policy and accepted women as undergraduate students for the first time in its 110-year history. Santa Clara became the first Catholic coeducational institution of higher learning in California. Thanks to the generous support of many friends, 23 new buildings have been added to match the growth in enrollments. Still newer facilities—the Louis B. Mayer Theatre, Leavey Activities Center, and Cowell Student Health Center—were completed in the early seventies.

Although the student body has grown rapidly in the past decade, it has been held at

<sup>\*</sup>THE UNIVERSITY'S LEGAL NAME IS: The President and Board of Trustees of Santa Clara College to which should be added, a Corporation, located at Santa Clara, California. For the information of individual, corporation, and foundation donors who wish the tax benefits of their gifts and grants, the University is classified by the Internal Revenue Service as a 501 (c) (3) (ii) tax-exempt organization and it is not classified as a private foundation under section 509 (a) of the IRS code.

a relatively small size: 3,500 undergraduates and 3,500 graduate and law students. Since 1960, the number of courses taught has more than doubled and there has been a proliferation of opportunities for individual study and work/study programs.

In 1964 the University adopted an academic plan and calendar which divides the school year into three eleven-week terms and limits the number of courses a student may take to three or four in each quarter period.

As an independent, tuition and gift supported University, Santa Clara has been able to accomplish change in ways that reflect its traditional concern for the individual student.

Today, the University of Santa Clara, the first institution to offer classes in higher learning on the West Coast, continues its Mission heritage of service by assisting its students to equip themselves with the best of humanistic values and knowledge. Academic excellence in a well balanced human being is the University's goal.



#### THE SETTING

#### The Santa Clara Valley

The University of Santa Clara is 46 miles from San Francisco near the southern tip of the Bay in an area that is rich in opportunities for learning. The campus is situated in the midst of one of the nation's great concentrations of high-technology industry and of professional and scientific activity. Many nearby firms and social agencies are world leaders in the search for solutions to man's most critical problems. The cultural and entertainment centers of San Francisco, Berkeley, Oakland, and Marin County are within one hour's travel by bus, train or car. In the opposite direction, about thirty minutes away, are the beaches of Santa Cruz on the Pacific Ocean, and less than two-hours' drive from the campus is world-famous Monterey Peninsula and Carmel.

The University is accessible by major airlines via San Jose Municipal Airport, just three miles away, and via San Francisco and Oakland International Airports.

Santa Clara has a moderate Mediterranean climate. Over a period of 67 years the average maximum temperature was 71.4° and the average minimum 41.6°. The sun shines an average of 293 days a year and the average annual rainfall is about 15 inches.

#### A STATEMENT OF PURPOSE

Inspired by the love of God through human service and the desire to serve through education, begun by the Franciscans who founded Mission Santa Clara in 1777 and continued by the Jesuits who opened the College in 1851, the University of Santa Clara declares its purpose to be the education of the human person in the context of its Catholic and Jesuit tradition.

The University is thus dedicated to:

the continuing development of a community of highly qualified scholars, teachers, students and administrators committed to an uncompromising standard of academic excellence:

providing an education that, in its emphasis on undergraduate education and in its pursuit of selected high quality graduate and professional programs consonant with such an education, stresses the development of moral as well as intellectual values, an education of the whole person, an education constantly seeking to answer not only "what is" but "what should be";

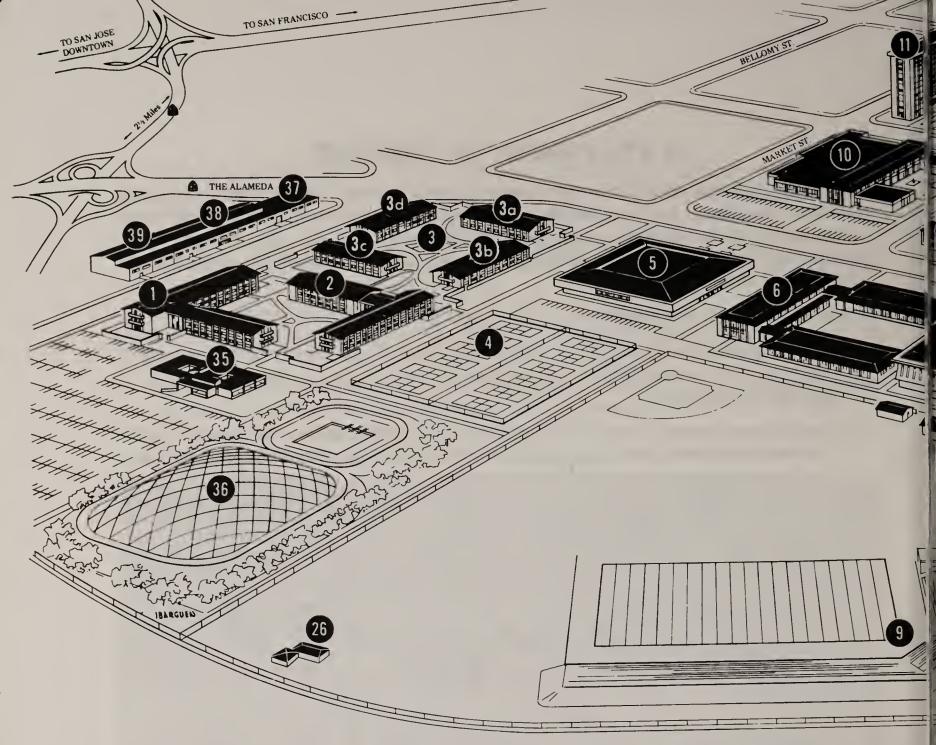
the continuing development of an academic community informed by Catholic principles, a community offering its members the opportunity for worship and for deepening their religious commitment, yet a community that is enriched by men and women of diverse religious and philosophical as well as social and racial backgrounds, a community opposed to narrow indoctrination or proselytizing of any kind, a community wherein freedom of inquiry and freedom of expression enjoy the highest priority;

offering an integrated curriculum designed not only to provide the scientific and humanistic knowledge necessary to enable students to develop fully as persons, but also to demonstrate the unity of all forms of knowledge and to enable students to assume roles of leadership in the modern world;

encouragement of teaching excellence and of the scholarly research that promotes such excellence, of close student-teacher relationships, of experimental and innovative courses and teaching methods—courses and methods that stimulate not only the acquisition of knowledge but the creative use of knowledge;

the continuing development of an academic community in which students, teachers and administrators dedicated to academic freedom and united in the search for truth are actively involved in formulating and implementing the University's policies.

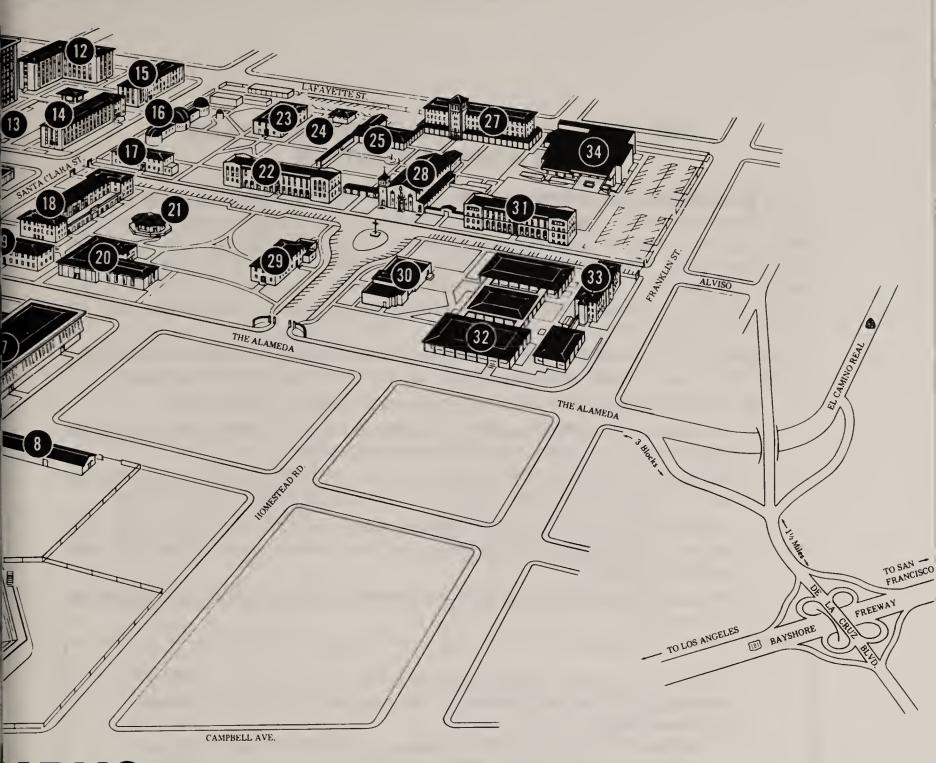
Board of Trustees University of Santa Clara January 22, 1975



## UNIVERSITY OF SANTA CLARA CA

- 1 Sanfilippo Residence Hall
- 2 Campisi Residence Hall
- 3 Graham Residence Center
  - A Strub Hall C Hancock Hall
  - B Swig Hall O'Neill Hall
- 4 Campus Tennis Courts
- 5 Michel Orradre Library
- 6 Sullivan Engineering Center
- 1 Bannan Classroom Building
- 8 Field House
- 9 Buck Shaw Stadium

- 10 Benson Memorial Center
- 11 Benjamin Swig Residence Hall
- 12 Dunne Residence Hall
- 13 John Kennedy Mall
- McLaughlin Residence Hall
- 15 Walsh Residence Hall
- 16 Ricard Memorial Observatory
- 11 Donohoe Alumni House
- 18 Kenna Hall School of Business
- 19 Bergin Hall School of Law



## MPUS

- 20 Heafey Law Library
- **21** Admissions Center
- 22 St. Joseph's Hall
- 23 Varsi Hall
- **24** Restrooms
- 25 Adobe Lodge Faculty Club
- 26 Univ. Day Care Center
- 2 Nobili Hall Jesuit Residence
- 28 Mission Santa Clara
- 29 Walsh Administration Building

- 30 De Saisset Art Gallery & Museum
- 3 O'Connor Hall
- 32 Daly Science Center
- 33 Alumni Science Hall
- 34 Mayer Theatre
- 35 Cowell Student Health Center
- 36 Leavey Activities Center
- Fine Arts Building
- 38 Ballet Building
- 39 Music Building

## THE GRADUATE SCHOOL OF BUSINESS AND ADMINISTRATION

The Graduate School of Business and Administration was established in 1958. It was among the first 31 graduate programs in the United States to earn full accreditation by the American Assembly of Collegiate Schools of Business in 1961. The Graduate School of Business and Administration offers programs leading to the following degrees: M.B.A., M.B.A. (Agribusiness), M.S. (Applied Economics), J.D.-M.B.A., and Ph.D. It also offers a host of nondegree programs through the Center for Leadership Development.

While each program offered by the Graduate School serves a different clientele and has different specific objectives, all programs share the following general focus:

- a. a multidisciplinary emphasis as opposed to narrow specialization
- b. a focus on issues most commonly addressed at policy-making organizational levels rather than at supervisory or technical levels
- c. an orientation toward administration in the generic sense in contrast to one which is bound to a single institutional, organizational, or industrial type
- d. a perspective toward decision-making which seeks to accomplish not only technical and organizational goals but those which make for an improved human condition
- e. a vision of the administrator as a professional who has high personal standards of conduct

"The curriculum lays a solid foundation for long-term career growth by providing skills and experience in all aspects of business-related decision-making."

Arthur F. Kluge, B.A. Park College, M.S., Ph.D., University of Massachusetts, MBA (1977) Santa Clara, Principal Scientist, Syntex Corporation, Palo Alto, California

#### **Rights Reserved**

The curriculum and regulations affecting students may be revised at any time at the discretion of the University administration.

#### THE M.B.A. PROGRAM

The M.B.A. Program is designed to prepare men and women to deal effectively with the demands and challenges of executive life. Since its inception in 1958, over 4,000 persons have graduated from the Program, most of whom have risen to responsible positions in business, government, and nonprofit organizations.

The M.B.A. Program is not designed to be "all things to all people." It is a carefully constructed and integrated sequence of learning opportunities tailored to those who aspire to positions of organizational leadership and responsibility.

#### THE M.B.A. PROGRAM IS:

- An educational program which results in a master's degree
- A program which offers many options regarding courses schedules, and instructors
- —Designed for full-time and part-time students
- Based on the assumption that students are adult learners with, in most instances, much to offer from their own experience to class discussions
- A program which
   requires admission and
   a bachelor's degree in
   any discipline to take
   courses with other graduate
   students
- A program which often requires accomodation with the demands of work and family life

#### THE M.B.A. PROGRAM IS NOT:

- A training program which results in a credential
- A lock-step program which allows little choice or discretion
- Designed exclusively for working students
- Based on the assumption that students
   are passive receivers of
   information with little to
   offer from their own experience to class discussions
- A program which requires admission and a bachelor's degree in business administration to take courses with other graduate students
- A program which requires little work except during hours otherwise spent in leisure

"I embarked on my MBA coincident with a career change from an Aeronautical Engineer to computer systems marketing. My career has now further turned to finance and general management. I consider all of these moves to be quite positive and I truly believe that none of these advancements would have been achievable had I not completed my MBA."

Edward F. Thompson, B.S., Illinois MBA (1968), Santa Clara, Treasurer, Amdahl Corporation, Sunnyvale, California

#### THE M.B.A. CURRICULUM

To earn an M.B.A. from the Graduate School of Business and Administration, a student must complete 14 to 23 courses, depending on his or her prior academic work. The curriculum is comprised of six categories of courses: Remedial Courses, Tool Courses, Functional Area Courses, Core Courses, Elective Courses, and a Capstone Course. The table on page 18 summarizes the curriculum.

#### **Remedial Courses**

Individuals admitted to the M.B.A. Program are generally at one of three levels of proficiency: Calculus Proficiency, College Algebra Proficiency, or SubCollege Algebra Proficiency. Upon admission, a student's background is assessed by the M.B.A. Petitions Committee to determine his or her level of proficiency, and the student is informed of this assessment with the receipt of the acceptance letter. This assessment may be challenged by the student by taking the Mathematics Leveling Examination administered before the beginning of each term.

Students who are determined to have calculus proficiency may waive the remedial course (71.293 Mathematical Analysis) without replacement. Students with college algebra proficiency, as determined by the Committee or Leveling Examination are required to take the remedial course. Those who are determined to have subcollege algebra proficiency will be given two quarters in which to become proficient in that subject, or they will be disqualified from the M.B.A. Program. They can earn proficiency by completing, with a grade of B or better, an acceptable course at another university or junior college or by passing the college algebra portion of the Mathematics Leveling Examination. Once a student who was initially judged to have subcollege algebra proficiency becomes proficient in college algebra as determined by the method outlined above, he or she will be required to complete the remedial course.

"I have been working with other MBAs from nationally ranked programs for several years now. Happily, I have found my Santa Clara MBA prepared me equally well for the challenges of a management career in a Fortune 100 company."

Karla K. Taylor, B.S., Purdue, MBA (1974), Santa Clara, Manager, Business Analysis, FMC Corporation, Santa Clara, California

#### **Tool Courses**

Eight Tool Courses are required to assure that students have the cognitive skills to benefit from other courses in the curriculum. Since 70.216A, 71.200A, and 71.201 are prerequisites to Functional Area Courses, students should plan to enroll in these courses as early as possible. In addition, since a knowledge of microeconomics is fundamental to an understanding of business administration, students are encouraged to complete 13.231 in their first few terms.

"My basic engineering training did not qualify me to prepare budgets, cash flow statements, or deal with bankers. My courses at Santa Clara helped me to build an idea into my multi-million dollar business."

Jess Kanarek, BEE, Brooklyn Polytechnic Institute, MBA (1972),
Santa Clara, President, WESCORP/DAL Industries, Inc.,
Mountain View, California.

All of the Tool Courses may be waived without replacement by those students with equivalent academic work. Again, equivalency is determined through an assessment by the M.B.A. Petitions Committee at the time of acceptance. This assessment may be challenged by taking a Leveling Examination in the relevant area, administered before the beginning of each term. A student may repeat any one Leveling Examination only once. In addition, once accepted to begin courses in a particular term, a student may not enroll at another university or junior college in order to gain proficiency in the areas of the Tool Courses without prior permission of the M.B.A. Petitions Committee.

"General management courses sponsored by my company were okay for a broad overview, but the depth of the MBA Program gave me the confidence that I could cope with business problems rather than just talk the language."

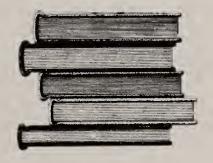
**Dean Carl,** BSME, University of California Berkeley, MBA (1974), Santa Clara, Engineering Manager, FMC Corporation, San Jose, California

#### **Functional Area Courses**

Three Functional Area Courses are required. Students with academic backgrounds judged by the M.B.A. Petitions Committee to be equivalent to any one or more of the Functional Area Courses will be permitted to substitute other couses for the Functional Area Courses.

"The Program is thorough, current, and technically excellent. It has been a very important factor in reaching my career goals. I have obtained the academic background required to advance quickly through the financial management ranks."

Thomas Archer, B.S.C., M.B.A. (1976), Santa Clara, Chief Financial Officer, Senior Vice President, M.B. Associates, San Ramon, California.



### THE M.B.A. CURRICULUM

|                      |   |  |                  |   | Waiveable                    |                          |  |
|----------------------|---|--|------------------|---|------------------------------|--------------------------|--|
| Course<br>Category   | Course<br>Number                            | Course<br>Title  | Units            | Prerequisites   | Without<br>Substi-<br>tution | With<br>Substi<br>tution |  |
| Remediai             | 71.293                                      | Mathematical Analysis  | 3                | College Albegra<br>Proficiency  | x                            |                          |  |
| Tool                 | 13.231                                      | Economic Analysis of the Firm  | 3                | 71.293  | х                            |                          |  |
|                      | 70.216A                                     | Financial Accounting   | 4                | •   | х                            |                          |  |
|                      | 70.217A                                     | Manageriai Accounting  | 3                | 70.216A   | х                            |                          |  |
|                      | 71.200A                                     | Statistical Methods  | 4                | 71.293  | х                            |                          |  |
|                      | 71.201                                      | Decision Science   | 3                | 71.293 & 71.200A<br>or concurrent en-<br>rollment   | ×                            |                          |  |
|                      | 71.294                                      | Computer Laboratory  | 1                | •   | х                            |                          |  |
|                      | 73.260                                      | Organization and Management  | 3                | •   | х                            | <del></del>              |  |
|                      | 73.265                                      | Social, Legal, Political Environment   | 3                |   | х                            |                          |  |
| Function-<br>ai Area | 71.208                                      | Production Management  | 3                | 71.200A & 71.201  |                              | х                        |  |
|                      | 72.280                                      | Marketing Management I   | 3                | 71.200A & 70.216A   |                              | х                        |  |
|                      | 74.245                                      | Financial Management I   | 3                | 71.200A & 70.216A   |                              | х                        |  |
| Core                 | 13.232                                      | Analysis of Business Conditions i  | 3                | -   |                              | х                        |  |
|                      | 71.207                                      | Management Information Systems   | 3                | 71.294  |                              | х                        |  |
|                      | Any <b>one</b> 72.282 72.291                | of the following <b>two:</b> Marketing Management II industrial Marketing  | 3 3              | 72.280<br>72.280  |                              | x                        |  |
|                      | 73.268                                      | Organizational Behavior  | 3                | 73.260  |                              | ×                        |  |
|                      | 74.246                                      | Financial Management ii  | 3                | 74.245  |                              | x                        |  |
|                      | Any <b>one</b> (72.278 73.270 74.251 74.254 | of the following four: International Marketing International Management Inter. Mon. & Fin. Management International Financial Management | 3<br>3<br>3<br>3 | 72.280<br>73.268<br>74.245<br>74.245  |                              | ×                        |  |
| Electives            | the M.B.A                                   | courses offered in conjunction with<br>Program with the exception of<br>erwise required or waived  | 12               |   |                              |                          |  |
| Capstone             | 73.266                                      | Business Policies  | 3                | 71.208, 74.246, completion of the Mktg<br>Core <b>and</b> in final<br>two quarters of at-<br>tendance |                              |                          |  |

#### **Core Courses**

Six Core Courses are required. Students with academic back grounds judged by the M.B.A. Petitions Committee to be equivalent to any one or more of the Core Courses will be permitted to substitute other M.B.A. courses for the Core Courses.

Students have some discretion in how they satisfy some of their core requirements.

Economics Core: satisfied by 13.232 Analysis of Business Conditions Management Information Systems Core: satisfied by 71.207 Management Information Systems

Marketing Core: satisfied by 72.282 Marketing Management II or72.291 Industrial Marketing

Management Core: satisfied by 73.268 Organizational Behavior Finance Core: satisfied by 74.246 Financial Management II

International Business Core: satisfied by any one of the following: 72.278 International Marketing, 73.270 International Management, 74.251 International Monetary and Financial Management, or 74.254 International Financial Management

"The Business Policies course was the most valuable course in the curriculum. It provided the glue that held all the individual courses together. More importantly, it provided the hard-driving environment necessary to instill the aggressive, can-do, winning attitude so important to being number one."

Richard H. Woodward, B.S., Iowa State, M.S., Air Force Institute of Technology, M.B.A. (1977), Santa Clara, Directorate Planning Coordinator, E.S.L., Inc. Sunnyvale, California.

#### **Electives**

The student is required to take four electives (12 units). Any course offered in conjunction with the M.B.A. Program with the exception of those otherwise required or waived is considered an elective. A student may not register for Elective Courses until all of the Tool Courses have been completed or waived.

Students who have previously earned graduate credit for courses taken at universities other than the University of Santa Clara which are acceptable to the M.B.A. Petitions Committee may be granted up to six units of elective credit.

"The rapid development of an international client base in my business consulting practice was facilitated by the availability and blending of domestic and international views and issues in the MBA Program. It is a unique program which provided the tools to aggresively participate in the world-wide business community."

Edward J. Poshkus, B.S.Ch.E., University of Massachusetts, MBA (1973), Santa Clara, President, E.J. Associates, Ltd., Los Gatos, California

#### **Capstone Course**

A Capstone Course is required of every M.B.A. student. Most students take the Business Policies (73.266) as their Capstone Course. However, a student may petition to substitute a Master's thesis for the Capstone Course.

"The Business Policies course was the most valuable one that I took at Santa Clara. It not only tied the curriculum together, but more important simulated real life business situations in small group projects and interaction."

Ed Carlstone, B.S.E.E., Northwestern University, MBA (1977), Santa Clara, President, Television Associates, Inc., Mountain View, California.

#### **Field of Specialization**

The M.B.A. degree is a general degree. It is not intended for the student who desires to specialize in one aspect of business administration at the expense of others. However, students do have considerable latitude in building some degree of specialization in their choice of Elective Courses and by exercising permissible choices within the core. A desire for specialization is only one of the motives which governs these choices, and the M.B.A. degree is in no way restricted to those who complete a particular set of electives.

The following advice is offered to those who wish to specialize in the offerings of one academic department.

**Professional Accounting:**It is not possible for a student to complete all the accounting courses necessary to qualify for a professional career in accounting within the requirement of four elective courses. However, students who desire professional preparation may opt to take more than four electives as long as they complete the other requirements for the M.B.A. degree. Individuals who have a major in undergraduate accounting are thus advised to take the following sequence of courses: 70.219, 70.220, 70.221, 70.224, 70.225, 70.226, and 70.232 once they have completed their Tool Courses. Those who do not have an undergraduate major in accounting may prepare themselves for a professional career by taking the following sequence of courses after they have completed their Tool Courses: 70.218, 70.219, 70.220, 70.221, 70.223, 70.224, 70.225, 70.226, 70.231, and 70.232. Students wanting to specialize in professional accounting are encouraged to contact the Chair of the Accounting Department upon admission.

"The Santa Clara MBA Program provided me with the necessary skills to make the transition from a teaching career to one in public accounting."

Kerry Loricz, B.A., Creighton, MBA (1977) Santa Clara, Accountant, Lautze and Lautze Accounting Corporation, San Jose, California.

**Economics.**Students wishing to specialize in Economics may choose to take their electives in this department. Students are also advised that the M.S. Program in Applied Economics offers far more specialization in this field than that possible within the M.B.A. Program.

**Finance.**Those interested in the area of Finance are advised to elect either 74.251 or 74.254 to satisfy their International Business Core requirement as well as choosing elective courses in the Finance area.

**Management.**Students who want to specialize in Management may do so through their choice of electives and by opting to take 73.270 to satisfy their International Business Core requirement.

**Marketing.** Specialization in Marketing can be attained by selecting 72.278 to satisfy the International Business Core requirement and by choosing electives offered through the Department of Marketing.

**Quantitative Methods.**Students interested in specializing in Quantitative Methods may do so through their choice of electives in that department.

"On numerous occasions visiting Professors from one of the country's most prestigious business schools commented that they had never been so challenged by students as they were at Santa Clara."

Judith A. Rhodes, B.A., Smith College, MBA (1978), Santa Clara, Marketing Manager, Mayfield Publishing Company, Palo Alto, California.

#### THE STUDENTS IN THE M.B.A. PROGRAM

Current students in the M.B.A. program represent a degree of experience, academic aptitude, and diversity unexcelled in graduate business programs throughout the world.

#### **Profile of the Student Body**

**Undergraduate Major:** 15 % Humanities, 31 % Social Science, 11 % Natural Science, 25 % Engineering, 18 % Business

**Degrees Held at Entry:**70 % Bachelor's degree, 28 % Master's Degree, 2 % Doctor's degree

Years of Full-Time Experience at Entry: 12 % None, 35 % 1-3 Years, 30 % 4-6 Years, 13 % 7-10 Years, 10 % 11 Years

#### 61% Men, 39% Women

Incoming students reflect wide diversity in their educational backgrounds and points of geographic origin. Current M.B.A. students come from over 400 different undergraduate institutions. A sample of the colleges and universities represented in the current student body appears below.

Air Force Academy Amherst College Barnard College Boston Colege Notre Dame University Oberlin College Occidental College Ohio State University California Polytechnic University

Carleton College

Carnegie Mellon University

Claremont Men's College

College of the Holy Cross

College of William and Mary

Colorado College

Columbia University

Cornell University

Dartmouth College

Duke University

Georgetown University

Georgia Institute of Technology

Gonzaga University

Harvard College

Hunter College

Illinois Institute of Technology

Indiana University

Lewis and Clark College

Loyola Marymount University

Marquette University

Massachusetts Institute of Technology

Michigan State University

Mills College

New York University

Northwestern University

Notre Dame University

Oberlin College

Occidental College

Ohio State University

Oregon State University

Pennsylvania State University

Pomona College

Princeton University

Purdue University

Reed College

Rice University

Rutgers University

Saint Louis University

San Diego State University

San Jose State University

Seattle University

Smith College

Southern Methodist University

Stanford University

State University of New York, Buffalo

Tulane University

United States Military Academy

United States Naval Academy

University of Arizona

University of British Columbia

University of Caifornia, Berkeley

University of California, Davis

University of California, Los Angeles

University of California, Santa Barbara

University of California, Santa Cruz

University of Chicago

University of Florida

University of Illinois

University of Iowa

University of Kansas

University of Maryland

University of Michigan

University of Minnesota

University of Missouri

University of Nebraska

University of Nevada

University of North Carolina

University of Oregon

University of Pennsylvania

University of Pittsburgh

University of Portland

University of San Francisco

University of Santa Clara

University of Southern California

University of Texas

University of Toronto

University of Utah

University of Virginia

University of Washington

University of Wisconsin

Vanderbilt University

Vassar College

Wabash College

Washington University

Washington State University

Wellesley College

Whittier College

Williamette University Williams College

Yale University



#### A VITAL DECISION: PART-TIME OR FULL-TIME

The M.B.A. Program can accomodate both full-time and part-time students. They attend classes together, and the degree and course requirements are the same for both groups. This melding of students of varying outside commitments facilitates the learning environment. Part-time students bring on-the-job experiences to the classroom. Full-time students often bring a unique frame of reference to class discussions since they are taking a greater number of classes at any one time.

The decision of whether to pursue the degree full-time or part-time is a complex one. Full-time students generally take an average of four-plus courses per term, and can finish their degree requirements in five terms or less, depending on their previous backgrounds. Part-time students take an average of two courses per term and are generally able to complete the degree requirements in less than three years. Besides that advantage for full-timers, there is a real value in taking a sabbatical from one's job to pursue an M.B.A. full-time. Being away from the day-to-day job demands makes an ideal learning atmosphere. This is not always possible, of course. Some individuals with family responsibilities or already on a fast-track career pattern cannot practically break away. As a result, Santa Clara's M.B.A. Program is organized to provide part-time students with a convenient way to gain their M.B.A. Most classes are scheduled in the late afternoon or evening. And the Graduate School of Business and Administration makes a continuing effort to encourage local firms to sponsor student employees through tuition reimbursement plans.

"Working on an MBA and working full-time was difficult. I had set a personal goal of a 3.5 G.P.A. which required increased study time. However, much of what I was learning in the classroom has immediate applicability on this job, and this kept the incentive level up."

Jim Martin, B.A., G.S.T.C., M.B.A. (1975), Santa Clara, Military Aerospace Marketing Manager, National Semiconductor, Santa Clara, California

#### **ADMISSION TO THE M.B.A. PROGRAM**

#### Requirements

A student with a bachelor's degree from an accredited college or university is eligible for admission to the M.B.A. Program. No previous courses in business are required. Applicants must take the Graduate Management Admissions Test (GMAT) given by the Educational Testing Service (ETS). Applicants who have not graduated from universities in English-speaking countries must also take the Test of English as a Foreign Language (TOEFL), also administered by the ETS. Information about both the GMAT and TOEFL is available from the ETS, Box 966, Princeton, New Jersey, 08540.

To be admitted, a candidate must be in good standing at the other colleges or universities attended, i.e., applicants who were disqualified or who withdrew with a grade average below that required for graduation will not be admitted, regardless of what course of study the candidate may have followed.

#### The Admission Decision

The following criteria are used by the M.B.A. Admissions Committee:

- a. academic aptitude for graduate work in Business Administraton
- b. demonstrated leadership potential
- c. motivation and ability to accomodate the rigors of the Program, given one's other commitments and responsibilities

"Santa Clara's MBA Program is probably the best available in the U.S. for part-timers. I think the work-learn atmosphere is excellent for a good education."

Howard Z. Bogert, B.S., Stanford, M.S. Maryland, MBA (1978), Santa Clara, Director of Technology, Data Quest Corporation, Santa Clara, California.

#### **Admissions Documents**

An applicant will not be considered for admission until all of the following are on file at the Graduate School of Business and Administration:

- 1. two copies of a completed and signed application form
- 2. two copies of an official transcript for every college and university attended
- 3. two recommendation forms completed by different persons
- 4. a nonrefundable application fee of \$20

Application packages including appropriate forms and admissions procedures may be obtained by writing to:

M.B.A. Admissions Committee Graduate School of Business Administration University of Santa Clara Santa Clara, California 95053

"Completing the MBA Program while holding down a full-time management position proved to be a very demanding endeavor. However, in hindsight, because of the knowledge I gained and the new opportunities that were opened up to me, I believe the effort was worth it. As an additional bonus, the experience also helped me to strengthen my self-discipline, an attribute which continues to benefit my career."

Richard W. Dias, BSIE, San Jose State, MBA (1977), Santa Clara, Manufacturing Manager Spectra Physics, Inc., Mountain View, California

#### **Students Records**

The following disclosures regarding a student's University records are given in compliance with the Family Educational Rights and Privacy Act of 1974 and are available for inspection as listed below:

- a. Official academic records, including application forms, admissions transcripts, letters of acceptance and a student's Permanent Academic Record are on file and maintained in the Registrar's Office.
- b. Working academic files are also maintained by each Dean of a School or College in their respective offices.
- c. Records related to a student's non-academic activities are maintained in the Office of the Vice President for Student Services.
- d. Records relating to a student's financial status with the University are maintained in the Controller's Office.

The following types of records are excluded from inspection by provisions of the law; namely those created or maintained by a physician, psychiatrist or psychologist in connection with the provision of treating a student. A Parents' Confidential Statement of the College Scholarship Service is also excluded under this same provision.

Third parties shall not have access to education records or other information pertaining to students without the written consent of the particular student about whom the information is sought.

A written statement on inspection policies, list of fees for copies, and related information is available in each office containing student records. Complaints regarding academic records by students may be directed to the Dean of the College or School in which the student is enrolled.

"Being in a technical business, my technical undergraduate background was sufficient for me to perform satisfactorily in my early assignments. As my responsibilities increase, I found other skills needed to be developed. The Program helped me develop those skills so I could make more of a contribution at higher levels of management."

**Douglas Carnahan,** B.S.E.E., San Jose State, MBA (1972) Santa Clara, Manufacturing Manager, Hewlett-Packard, Boise, Idaho

#### **Admissions Deadlines**

Admissions decisions are made on a rolling basis. Applicants may be admitted at the beginning of the fall, winter, or spring quarters or at the beginning of the summer session. When a completed application package and GMAT results are received, these materials are reviewed by the M.B.A. Admissions Committee, and a decision is generally communicated to the applicant within three weeks of the receipt of all materials. The complete application package should be on file with the Program at least ten weeks prior to the date of registration for the student to be assured of a place in the desired class.

#### **Nondiscrimination Policy**

The University of Santa Clara admits students of any race, religion, sex, color, handicap, national and ethnic origin to all the rights, privileges, programs, and activities generally accorded or made available to students at the school. It does not discriminate on the basis of race, color, religion, sex, handicap, national and/or ethnic origin in administration of its educational policies, admissions policies, scholarships and loan programs, and athletic and other school-administered programs. Additional information and copies of the Grievance Procedures are available in the Office of Student Services and/or the Personnel Department.

#### **Procedures for Application**

- 1. Submit application form in duplicate. While application forms, GMAT score and transcripts must be on file with the Graduate School of Business at least ten weeks prior to the date of registration, the M.B.A. Admissions Committee may change this date whenever the quota for a given quarter is filled. Before an application can be evaluated, all transcripts of college credit and the GMAT score must be on file at the University. One should write directly to the registrar of each school of collegiate grade attended and have two transcripts sent directly to the University of Santa Clara. *All* colleges and universities attended *must* be listed on the application.
- 2. Enclose a \$20.00 application fee. Checks are to be made payable to the University of Santa Clara.
- 3. Two recommendations are required. Forms to be used for this purpose will be available in the application packet.
- 4. All candidates for admission must take the Graduate Management Admission Test (formerly ATGSB) given by the Educational Testing Service. The M.B.A Admissions Committee will consider, for visually and physically handicapped applicants who need special considerations not afforded by the GMAT, a petition for the substitution of the GRE. The petition, along with an official verification of the disability, should be directed to the M.B.A. Admissions Committee, Graduate School of Business, University of Santa Clara, Santa Clara, California 95053. GMAT applications are available at the Graduate School of Business Office and University of Santa Clara Academic Resource Center.

Applications, GMAT score, and transcripts should be addressed to:

M.B.A. Admissions Committee Graduate School of Business and Administraton University of Santa Clara Santa Clara, California 95053

All credentials and transcripts which are submitted become the property of the University.



"Earning an M.B.A. from Santa Clara was in essence the catalyst that accelerated my career from a professional discipline to a responsible management position. The M.B.A. wasn't in itself a ticket to success; however, when coupled with hard work, has enabled me to compete favorably with other management candidates."

Barry Weitzenberg, B.S.I.E., University of California, Berkeley, M.B.A. (1974), Santa Clara; Manager of Manufacturing, F.M.C. Corporation, San Jose.

#### M.B.A. SUPPORT SYSTEMS

#### M.B.A. Placement

The M.B.A. Placement Office assists the students and alumni of the Graduate School of Business with career preparation and professional placement appropriate to their academic training, employment experience, and career goals.

Throughout the year, the Office sponsors various programs, involving professionals from nearby firms and industries who speak on pertinent topics of interest. "Ask an Executive" program is designed to enable students to informally talk with a professional in middle-to-upper-management from a specific company about various topics, including career opportunities, the industry, and career paths.

Each year the M.B.A. Placement Office publishes the M.B.A. RESUME BOOK, which is comprised of resumes of M.B.A. students who will be graduating during the upcoming academic year. The RESUME BOOK is distributed to approximately 300 M.B.A.-employing business firms and organizations.

Workshops are conducted regularly in the areas of Resume Writing, Interviewing Techniques, and the Job Search.

Career counseling is available to students who are indecisive about their career goals, interested in changing careers, or who desire to discuss their employment search strategies.

The M.B.A. UPDATE, a weekly newsletter, is distributed to the M.B.A. students and faculty of the Graduate School of Business. This newsletter is comprised of information originating from the M.B.A. Placement Office: job opportunities, special programs, workshops, and other M.B.A. information.

Full-time, part-time, and summer employment opportunities are listed continuously with the Placement Center. Students in program or permanent career-oriented positions are encouraged to pursue these opportunities.

Each year a large number of national, regional, and local companies and organizations interview on campus for a variety of career and summer opportunities. Students graduating in the upcoming academic year or seeking a full-time position are eligible to participate in campus recruitment.

The Placement Center has resource materials to research different career fields and prospective employers. The Career Exploration Library houses extensive and current career information, directories, job search books, etc. The Reference Library contains company literature and annual reports on local and national firms and organizations.

"In industrial sales management positions, one learns very quickly that you must view problems or issues from the perspective of the other party. The MBA developed my sensitivity to this frame of reference and developed my negotiation and customer relations skills."

**Doug Dawson,** B.S.E., Princeton, MBA (1975) Santa Clara, Field Sales Manager, Container Corporation of America, Santa Clara, California

#### **COMPANIES EMPLOYING RECENT M.B.A. GRADUATES**

Advanced Micro Devices
Amdahl Corporation
American Microsystems
Ampex Corporation
Applied Technology
Arthur Anderson & Company
Arthur Young & Company
Ashwill Burke
Bank of America

Burroughs Corporation
Coldwell Banker
Coopers & Lybrand
Crocker National Bank
Deloitte, Haskins & Sells
Dow Getty Corporation
Ernst & Whinney
E.S.L., Incorporated

F.M.C. Corporation
Ford Aerospace & Communications
Corporation
Four-Phase Systems

Alexander Grant & Company Gulf Oil Corporation Hewlett-Packard Hurdman & Cranston

General Electric

I.B.M. Corporation Intel Corporation

Kidder, Peabody & Company,

Incorporated

Laventhol & Horwath Lockheed Corporation Main Lafrentz & Company Measurex Corporation Memorex Corporation

Memorex Corporation
N.C.R. Corporation
National Semiconductor
Pacific Telephone Company
Root Marwick Mitchell 8 C

Peat, Marwick, Mitchell & Company

Price Waterhouse
Raychem Corporation
Rolm Corporation

Southern Pacific Transportation Standard Oil Company of California

Syntex Corporation Texas Instruments

Touche Ross & Company

Varian Associates Watkins-Johnson Wells Fargo Bank

Western Electric Company

**Xerox Corporation** 



#### MICHEL ORRADRE LIBRARY

In August 1964, the holdings of the Varsi Library were transferred to the new and spacious Michel Orradre Library, named in honor of one of the principal donors, the Orradre family.

The size of the building is 110,000 square feet of assignable space. This accommodates over 1100 seats and provides the space for 800,000 volumes. There are presently about 500,000 titles in the building.

The Library maintains an open shelf plan with special service areas grouped around the building's central core. The Library is an official depository for both California State and United States Governmental Documents.

The Library is open seven days a week for a total of 104 hours per week for the convenience and assistance of faculty, graduate, and undergraduate students.

"My MBA was a critical element in enabling me to obtain a position on the Marketing Staff of Ford Motor Company. The position, which involved developing future strategies for the Company, required an MBA."

Dick Basile, B.S.C., M.B.A. (1966), Assistant District Sales Manager, Ford Motor Company, Los Angeles, California

#### ATHLETIC FACILITIES

Facilities for athletic activities include the new two-acre Thomas E. Leavey Activities Center, which has gained national attention for its air-supported roof, with a 4,500 seat basketball pavillion and two smaller arenas, handball courts, weight training room, indoor track, 25-meter by 25-yard swimming pool, and other facilities; centrally located tennis courts and athletic fields for football, baseball, softball, soccer, and rugby.

"When entering preliminary employment negotiation with my present boss, the first question asked, put simply was, have you finished your MBA?" Had that answer not been affirmative, I have been told that the interview would have ceased at that point."

Stephen L. Dunn, B.A., San Francisco State, MBA (1972) Santa Clara, Treasurer and Chief Financial Officer, United Centrifugal Pumps, San Jose, California

#### **ACADEMIC RESOURCE CENTER**

The Academic Resource Center is located on the second floor of the Benson Memorial Center, Room 212, and offers a variety of services to all members of the University community. A professional staff of educators, psychologists, and guidance specialists helps students enrich their personal and educational development.

Counselors are available to assist students in dealing with personal, emotional, social and vocational problems which may confront them. A variety of counseling and testing procedures are used according to the individual's personal needs. At all times the overall goal is to increase an individual's personal development by helping him or her realize his or her own resources and potential.

The A.R.C. complements and supports other educational facilities through a campus-wide undergraduate tutorial program. Students can obtain individualized help in many academic subjects. A program of study skills seminars offers the opportunity to improve those abilities which are essential to academic success. The A.R.C. also administers the G.R.E., G.M.A.T., and Miller Analogies exams and maintains an extensive library of college catalogs.

"The Placement Office deserves the highest commendation. Their work, not only for me, but what I know they did for others, was truly outstanding!"

Richard Hulsker, B.S. (Physics), B.S. (Math), Rensselaer Polytechnic Inst., M.S., Michigan State, MBA (1975), Santa Clara, Tax Manager, American Microsystems, Inc., Santa Clara, California.

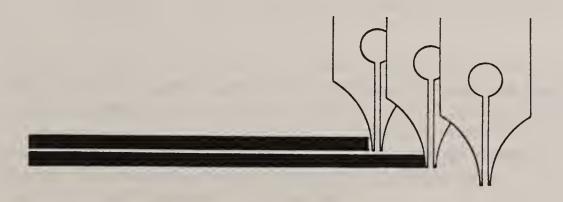
#### STUDENT HOUSING

The University maintains eleven dormitories for students living on campus.

Dormitory space is open to graduate students only on a space available basis after undergraduate housing requirements have been met. Inquiries should be sent to: Director of Housing, University of Santa Clara.

"One of your MBAs is now a Senior Manager with us and has done an absolutely outstanding job over the past nine years. Two Santa Clara MBAs joined us this summer, and we plan to intensify our recruiting of Santa Clara MBAs."

Thomas H. Tebben Arthur Anderson & Co. San Francisco



#### STUDENT ORGANIZATIONS

**BETA GAMMA SIGMA,**Delta Chapter of California, is a professional business honor society to encourage and reward scholarship and accomplishment among students of commerce and business. It promotes the advancement of education in the art and science of business and fosters integrity in the conduct of business operations. Members are selected on the basis of high scholarship and good moral character.

"Our recruiters have given Santa Clara MBAs consistently high ratings, and they have also been impressed with the administration of the Placement Office."

Gerald W. Bush
Senior Vice President
Gulf Oil Corporation
Pittsburgh, Pennsylvania

**FINANCE CLUB.** The University of Santa Clara Finance Club is a chapter affiliate of the American Finance Association, a national professional society of some 4,000 members representing university teachers and professional people from business, government and finance. The primary objective of the organization is to promote individual interest and knowledge in the various areas of finance through the interchange of ideas and research by people in finance. Membership in the student chapter provides the opportunity to participate in meetings, forums and seminars involving leaders of the business and financial communities.

"We have found Santa Clara MBAs to be well educated, easily motivated and extremely adaptable in making the transition from the academic world to the corporate world."

> Vice President Croker National Bank San Francisco, Ca.

**THE M.B.A. STUDENTS ASSOCIATION** is an organization of student in the Graduate School of Business for the study of business problems and for the encouragement of social activities among the students of the School. Frequent lectures are given before the Association by prominent business professionals.

"Since completing my MBA, I have held the positions of Manufacturing Planning Manager, and Accounting Manager. The graduate business program at Santa Clara aided me in qualifying for and performing well in these different positions."

Konrad M. Sosnow, B.S., Drexel, MBA (1973), Santa Clara, Accounting Manager, Chemelex Division of Raychem Corporation, Redwood City, California.

## THE MBA PROGRAM IN AGRIBUSINESS

The Graduate School of Business and Administration also offers an M.B.A. program in Agribusiness. The program is designed for those who are planning a career in the management of enterprises associated with agriculture.

Students who enter the program with sufficient academic background and experience may complete requirements for the M.B.A. degree in twelve months; however, the program has the flexibility for plans of study of up to eighteen months for those who have special needs.

"I was generally impressed that the academic preparation and work experiences of Santa Clara MBA's made them very competitive for both supervisory and administrative positions."

M.N. Bradley

Personnel Manager (Campus Recruiter) Pacific Telephone San Francisco, Ca.

Students enter the program in September and complete degree requirements in August or December of the following year, or in March of the next year. All students are required to participate in two six-week summer sessions.

The curriculum consists of three parts: nine quarter courses in the six basic areas of administration, eight Agribusiness courses, and four electives. The electives can be chosen from a wide range of offerings in the Graduate School of Business. Emphasis early in the program tends to be on the basic courses which provide much of the background for the more advanced agribusiness courses; however, the study of Agribusiness begins in the first quarter so that each person can begin to relate the basic material to his or her own professional interest. In the latter stages of the program most of the time is devoted to agribusiness courses.

All applications and correspondence on admission should be directed to:

Director
Institute of Agribusiness
Graduate School of Business
University of Santa Clara
Santa Clara, California 95053
Telephone: (408) 984-4086

"My MBA enabled me to fluently discuss financial and management issues with my present employer. This opened the door to a job at a 30 % increase in salary and benefits."

Wiley R. Hughes, B.S., MSEE, San Jose State, MBA (1976) Santa Clara, Vice President, Buckingham Wood Products, Rapid City, South Dakota.

## THE MASTER OF SCIENCE DEGREE IN APPLIED ECONOMICS

#### **Objectives**

The Master of Science Degree in Applied Economics is a theoretical program and is designed to prepare students for careers as economists in industry, finance and government. The emphasis of the program is on theoretical applied economics.

#### **Admission Requirements**

The basic requirement for admission into the Master's degree program is a Bachelor's degree from an approved undergraduate institution. To be admitted into the program the student must have an above-average academic record. An undergraduate major in economics is not essential for acceptance.

Applications, GMAT score, and transcripts should be addressed to:
Chair, Department of Economics
University of Santa Clara
Santa Clara, California 95053

#### **Degree Requirements**

To satisfy the requirements for the degree of Master of Science in Applied Economics, the student must complete 45 quarter credit hours of course work (15 term courses) beyond two basic courses in calculus, one course in statistics, one course in intermediate macroeconomics and one course in intermediate microeconomics. The requirements are divided as follows.

- 1. Two courses in advanced macro- and microeconomics
  - 13.233 Analysis of Business Conditions II
  - 13.237 Economic Analysis of the Firm II
- 2. Three courses in statistics and econometrics
  - 13.238 Econometrics I, or
  - 71.204 Statistical Research Methods
  - 13.239 Econometrics II
  - 13.240 Econometrics III
- 3. A minimum of four economic electives
- 4. Three courses in one field other than economics, offered by the Graduate School of Business
- 5. One computer course
- 6. Two free electives





# THE DOCTOR OF PHILOSOPHY DEGREE PROGRAM IN BUSINESS

The Degree of Doctor of Philosophy in Business Administration is conferred upon students as an indication of high intellectual achievement. The Doctoral Program is intended to prepare students for professional careers both in business and academic work. Each student will be expected to meet the general requirements of the Graduate School of Business, and beyond that, to adapt the curricular requirements of the School to his/her personal needs and objectives.

## **Admission to the Program**

Candidates for admission to the program must have earned a baccalaureate degree from an accredited collegiate institution. A master's degree is not required. Any student wishing to receive an M.B.A. from the University of Santa Clara, while in the Doctoral Program, must fulfill the necessary requirements for the M.B.A. according to the Graduate School of Business catalog. The candidate must complete the appropriate application forms and return them with a \$20 application fee to the Chair of the Doctoral Program. The fee is not refundable and is not credited towards tuition. The candidate should have the following materials sent directly to the Chair of the Doctoral Program: letter stating objective for the Ph.D degree, two official transcripts from all colleges and universities attended, the Graduate Management Admission Test (GMAT) score, and three letters of recommendation. For those whose native language is not English, the Test of English as a Foreign Language (TOEFL) must be taken and the score sent to the Chair of the Doctoral Program. Admission to the graduate program does not assure the candidate continuous registration; the candidate must demonstrate to the Doctoral Committee satisfactory progress and must pass the various examinations.

Admission to the Doctoral Program is generally limited to applications for the fall quarter. All application forms and transcripts must be on file with the Graduate School of Business prior to March 1. Counselling appointments are available after a student's file is completed. The completed file is evaluated by the Department in the candidate's field of emphasis and by the Doctoral Committee of the Graduate School of Business.

Applications, transcripts, and test scores should be addressed to:

Chair, Doctoral Program Graduate School of Business University of Santa Clara Santa Clara, California 95053

All credentials and transcripts which are submitted become the property of the University.

## **Degree Requirements**

The degree of Doctor of Philosophy will be awarded on the basis of the successful completion of 90 quarter-units of graduate course work, the passing of a preliminary examination, the passing of a written examination in the candidate's field of emphasis, presenting in writing and orally an acceptable dissertation proposal, orally presenting the research on the dissertation, and the writing of an acceptable dissertation. Only courses in which a grade of B or higher was earned will be counted in the 90 quarter-units of graduate course work.

A candidate must choose one major area of interest from among the fields of Accounting, Economics, Finance, Management, Marketing, and Quantitative Methods.

There is no language requirement and a student has a maximum of seven years to complete all requirements. Any extensions must be formally approved by the Doctoral Committee.

Advanced Standing. A minimum of 45 quarter-units of graduate course work must be completed at the University of Santa Clara. A student may petition to the Doctoral Committee of the School of Business for a maximum of 45 quarter-units of credit for graduate work completed at other accredited institutions.

The degree of Doctor of Philosophy is not simply the completion of a given number of course credits, but rather the demonstration of knowledge and thorough understanding of the appropriate subject matter. Thus, many candidates will find it advantageous to take more than minimum course requirements.

Leave of Absence. A student may petition for a maximum total of one year for a leave of absence. This may be taken only during the pre-dissertation stage and any time spent on a leave of absence is included in the total time allowed for completion of the degree. Any candidate taking a leave of absence without written approval of the Doctoral Committee may be required to re-apply to the Doctoral Program and to satisfy all requirements of the program which exist at the time of that application.

Any student who does not register during a regular quarter of the academic year (summer session excluded) is not considered to be on a formal leave of absence and will be placed on an inactive list.

A student who is on a leave of absence or has been placed on the inactive list must also state whether or not any other school (s) has been attended since withdrawing from the University of Santa Clara. If the student has attended another school, all transcripts must be sent to the Chair, Doctoral Program, Graduate School of Business, University of Santa Clara, Santa Clara, California 95053. All work must be at a satisfactory level in order for the student to be readmitted.

*Progress*. A satisfactory rate of progress as determined by the Doctoral Committee toward the degree is required at all times. In reviewing the progress of all students, the Doctoral Committee reserves the right to terminate a student from the program for academic reasons at any time and to deny readmission.

## **Program of Study**

Course Work. During the first year in the Program each student, in cooperation with the Chair of the Doctoral Program and an advisor in his/her chosen field of concentration, must develop a written proposal for his/her Ph.D. program of study, which will then be submitted to the Doctoral Committee for approval. Programs in fields of emphasis are limited to the regularly scheduled graduate classes offered in the Graduate School of Business. At the discretion of each faculty member, doctoral students will be encouraged to write and/or present doctoral level research papers in all courses.

Each student must complete a minimum of three courses in research methodology; these courses will be specified by the Doctoral Committee. Other courses may be required of each student.

Preliminary Examination. The Preliminary Examination is a written test designed to reveal the candidate's ability to relate, integrate and apply to business problems the knowledge and techniques which may be derived from the University of Santa Clara's Master of Business Administration Program. No student will be allowed to proceed in the Doctoral Program until this examination has been passed. At the discretion of the Examining Committee and Doctoral Committee, the candidate may be permitted to repeat the exam once.

Field Examination. The Field Examination is a written test formulated to examine the candidate's depth of knowledge in his/her field of emphasis including any appropriate application to other fields in the curricula. This examination is designed and administered by the respective departments of the Graduate School of Business. This examination may be taken only twice; it is suggested that the student meet with the Department Chair in his/her chosen field for counselling if a second examination is deemed necessary.

Candidacy. A student is admitted to candidacy only after he/she has successfully completed the Field Examination and after he/she has successfully presented a dissertation proposal, which requires approval by the Dissertation Committee and the Doctoral Committee. A student entering the program with a graduate background in business must attain candidacy no later than the end of his/her fourth year. Other students are granted an additional year.

The Dissertation Requirement. The dissertation for the degree of Doctor of Philosophy is expected to constitute a contribution to knowledge. It must be an original work, requiring both scholarly research and rigorous analysis.

The candidate will be required to obtain three members of the faculty of the Graduate School of Business to serve on his Dissertation Committee, at least one of whom must be from a department outside the candidate's field of emphasis. The Dissertation Committee must also be approved by the Doctoral Committee. The Doctoral Dissertation Committee will be considered formally constituted after the three faculty members have reviewed the candidate's written dissertation proposal, have met with him/her simultaneously and any interested member of the Graduate School of Business faculty for an oral presentation of the dissertation proposal, and have indicated their acceptance of the candidate's invitation to serve on his/her Dissertation Committee and the Doctoral Committee has approved it. At some time during the dissertation stage the student will be invited to make an oral presentation of his/her dissertation research before any interested members of the faculty of the Graduate School of Business.

One copy of the dissertation must be submitted to each member of the candidate's Dissertation Committee for their evaluation and comments. After consultation with the members of his/her Committee, and their unanimous approval, the candidate may proceed to final copy. Upon completion of the final copy, and written approval of the dissertation by the three members of the Dissertation Committee, three copies of the dissertation must be presented to the Doctoral Committee and to the Dean of the Graduate School of Business for acceptance.

The dissertation must be written in clear, grammatically correct English, typewritten and reproduced by a method which allows the document to be microfilmed clearly, and accompanied by an abstract of not more than 600 words. (Specific guidelines will be given to the candidate by the Chair of the Doctoral Program.)

The candidate must provide the Doctoral Committee with three bound copies of the accepted dissertation for distribution within the University.

*Microfilming*. The original copy of the candidate's dissertation, accompanied by the original copy of the abstract, must be submitted to the Chair of the Doctoral Program for microfilming and publishing in *Dissertation Abstracts International*.

Upon satisfactory fulfillment of the above obligations the candidate will have completed all requirements for the degree of Doctor of Philosophy in Business Administration.

## JD-MBA COMBINED DEGREE PROGRAM\*

The J.D.-M.B.A. Combined Degree Program is designed to permit the student interested in obtaining both the J.D. degree and the M.B.A. degree to complete both degrees in less time than if both degrees were earned independently outside the Program. This saving can be accomplished because certain course work taken at the School of Law can be transferred for credit towards the M.B.A. degree and conversely certain course work taken at the Graduate School of Business can be transferred towards the J.D. degree.

The program is available only to full-time students of the University of Santa Clara School of Law or the University of Santa Clara Graduate School of Business.\*\*

While enrolled in the program, the student must maintain a satisfactory grade average in each School and:

- a) Satisfy the academic requirements of each School and
- b) Complete the number of courses (Business) and semester units of credit (Law) as other recipients of the respective degrees. (The student must further complete all courses identified as "required" courses by either School), and
- c) Work out a specific individualized academic program with and approved by both the School of Law J.D.-M.B.A. Program Advisor and the Graduate School of Business J.D.-M.B.A. Program Advisor.

In connection with the approval of any such individualized academic program, permission may be granted to count certain specified courses for credit toward both degrees. For example, such courses might include, among others, *Business Organizations, Securities Regulation, Advanced Corporations* and *Labor Law*in the School of Law; *Accounting 216 and 217, Economics 231 and 232,* and *Finance 245 and 246* in the Graduate School of Business. However in no event shall any such individualized academic program contain i) more than 4 quarter courses at the Graduate School of Business for which credit is also obtained at the School of Law, or ii) more than 8 semester units at the School of Law for which credit is also obtained at the Graduate School of Business. (1 quarter courseat the School of Business would count as 2 semester *units* at the School of Law. Similarly, 2 semester units at the School of Law would count as 1 quarter course at the School of Business; 3 semester units as 1½ quarter courses and 4 semester units as 2 quarter courses.)

<sup>\*</sup>Students who withdraw from the J.D. program will not be granted transfer credit towards the M.B.A. degree for courses taken in the School of Law.

<sup>\*\*</sup>The maximum number of courses earned at other accredited law schools at which students have earned a J.D. degree which will be accepted toward the M.B.A. degree will be two.

Under a typical schedule, the student would complete the Program and receive both degrees in 3½ or 4 years. The first year would be spent exclusively in the School of Law. Thereafter, work would be taken concurrently in the Graduate School of Business and the School of Law.

## THE CENTER FOR LEADERSHIP DEVELOPMENT

The Center for Leadership Development was organized in 1957 to provide programs of continuing education for executives, managers and supervisors. Many different seminars, institutes, symposia, workshops, and conferences are conducted for people coming from all levels of management and from public and private, profit and nonprofit institutions. Some of these programs are initiated within the University, but many are initiated by a single company, by a group of companies, or by a professional association.

Although the Center is an adjunct of the Graduate School of Business and Administration, the educational and professional talents of the various colleges of the University are integrated in these programs.

Some of the more important programs which are offered each year include the following:

Management Development Program—Offered Fall, Spring and Summer—twelve-day sessions for middle- and upper-management people.

Foremanship and Supervision Programs—17 weeks, Fall to Spring, and a one-week concentrated program in summer.

Seminar in Hospital Management—three eight-week sessions throughout the year.

Seminar in Municipal Management—three eight-week sessions throughout the year. I ockheed Management Institute—a conjoint, two-week Program for managers of the

Lockheed Management Institute —a conjoint, two-week Program for managers of the Lockheed Missiles and Space Company.

Lockheed Supervisory Institute —a conjoint, two-week, program forsupervisors of the Lockheed Missiles and Space Company.

Economics Symposium and Forum —a three-day, bimonthly colloquium and forum conducted with the Department of Economics for selected top executives.

American Electronics Association Middle Managers' Program —a conjoint, intensive, two-week program for managers of high technology firms.

"The education afforded me by my MBA gave me an analytical framework within which to understand and constructively assist in the business complexities of clients that I serve. Your intuition may tell you that conditions have gone awry; the MBA lets you comprehend why within a structure allowing you to convince others that corrections are required. It's a matter of confidence and credibility."

Howard S. Charney, BSME, MSME, M.I.T., M.B.A. (1973), J.D., Santa Clara, Attorney At Law, San Jose, California.

## **Financial Information**

## **TUITION AND FEES**

| Application Charge   | \$ 20.00 |
|--|----------|
| This charge is to be sent with each application form and is not refundable.  |          |
| Registration Fee   | 5.00     |
| This fee is payable each quarter of registration regardless of the number of units for which the student is registered. The fee is not refundable. |          |
| Tuition, per three quarter units   | 300.00   |
| Late Registration Fee  | 10.00    |
| Dissertation microfilming, Ph.D.   | 25.00    |
| Diploma and Graduation Fee   | 30.00    |
| Payable in each quarter in which degree is granted.  |          |
| Deferment Service Fee  | 10.00    |
| Returned Check Fee   | 5.00     |
| Charge Card Returned Item Fee (returned unpaid from your VISA  |          |
| or Mastercharge agency)  | 5.00     |
| Leveling Examination Fee   | 10.00    |
| Application Reconsideration Charge   |          |
| M.B.A. Student Association Membership Dues (Optional)  |          |

## **Method of Payment**

Students should come prepared to pay all charges on the day of registration. Remittances should be made payable to the University of Santa Clara. Information regarding periodic statement of account or payment should be directed to the Office of Student Accounts.

Students who have unpaid bills at the University or who defer payment without signed approval are subject to dismissal from the University and, as long as such payments remain unpaid, may not receive transcripts of credit nor any diploma.

There are several tuition plans administered by outside financing agencies which are available. Those who plan to avail themselves of one of these plans should request information from the Office of Student Accounts at least three months prior to registration.

#### **Dissertation Fees**

Once the candidate for the Doctor of Philosophy Degree in Business has passed his comprehensive oral examination, the candidate will be required to register for nine units each succeeding quarter until 45 quarter units of tuition is paid. In the event the candidate has not completed the dissertation in the five quarters of registration, additional tuition charges will not be assessed.

All candidates must continue to register each quarter (summer not included) and pay the registration fee each period until they complete their dissertations. Students who fail to do this will be placed on the inactive list.

#### **Refund of Tuition**

The date upon which written notification of withdrawal is received by the M.B.A. office will be the effective date upon which any refund of tuition will be determined, not the last date of attendance by the student.

Any student withdrawing prior to the third meeting of any regularly scheduled class will receive a refund of two-thirds of the tuition. Any student withdrawing prior to the sixth meeting will receive a refund of one-half of the tuition. No refunds of tuition will be made after that time. Appeals for special consideration should be addressed to the M.B.A. Petitions Committee and forwarded to the M.B.A. office. The registration fee is not subject to refund.

No refunds will be made by virtue of curtailment of services brought about as a result of strikes, acts of God, civil insurrection, riots or the threat thereof, or other causes beyond the control of the University.

"My MBA is useful to me everyday in my job as an engineer. Engineering decisions always have business implications. I sometimes forget how much I use my MBA until I observe some other engineer without the benefit of this education making the wrong decision."

James K. Koch, BSEE, Iowa State, MSEE, Stanford, MBA (1974), Santa Clara, Manufacturing Engineering Manager, Hewlett-Packard, Palo Alto, California.

## **FINANCIAL AIDS**

Financial assistance at the University of Santa Clara is awarded on the basis of superior academic record, and/or financial need. Assistance generally is categorized as scholarships, loans, deferred payment plans and jobs.

## **Aid Qualifications**

The Graduate School of Business offers a limited number of scholarships to outstanding graduate students. In selecting students to receive financial aid, evidence of financial need is required. Please request a financial aid application from the Graduate School of Business Office.

The Office of Financial Aids has established deadlines for consideration from the various programs it administers. All students requesting Financial Aid from the University should contact the aid office at the earliest possible date and request specific deadline information and appropriate application materials. A University application for financial aids is required. Files completed after the date (s) set will receive consideration on a funds available basis.

Because scholarships and grants are limited, many students applying for aid find the most advantageous method of financing their education through a loan program. Among those available to students are the National Direct Student Loan Program and Guaranteed Student Loans. It is also possible for graduate students who are residents of California to apply for a state graduate fellowship. These grants are awarded to students

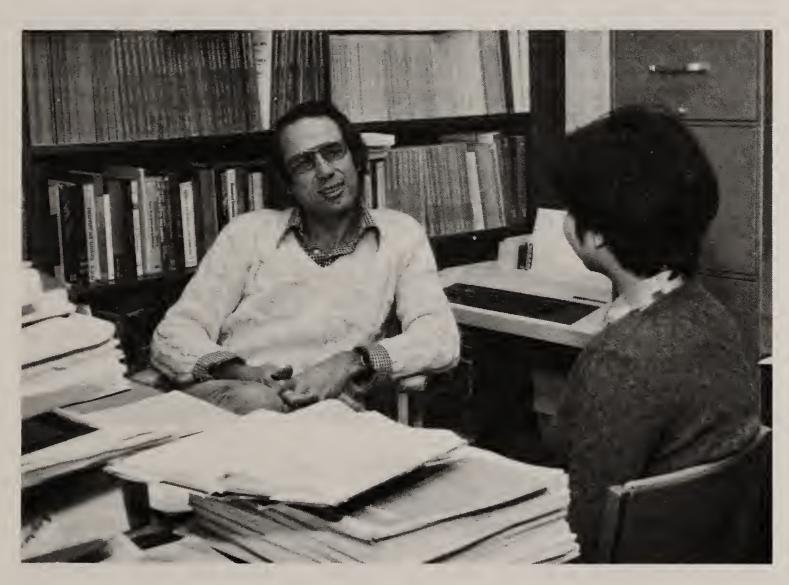
pursuing a recognized graduate or professional degree and who have not completed more than four quarters of full-time graduate work as of October 1. Selection is made on the basis of state manpower needs, academic performance and financial need. Applications are available in the fall from either the Graduate Fellowships or Financial Aids offices.

## **Veterans and Veterans' Dependents Assistance**

The University of Santa Clara is listed by the Veterans Administration as qualified to receive students under Chapter 34 (veterans), Chapter 35 (veterans' dependents—son or daughter with parent deceased or 100% disabled; widow of any person who died in the service or died of a service connected disability, or the wife of a veteran with a 100% service-connected disability) and Chapter 31 (rehabilitation). Those interested in attending under any of these chapters should contact the Veterans Administration Office in their locality to determine eligibility for benefits.

The State of California provides a program for children of veterans who are deceased or disabled from service-connected causes. Application should be made to the California Department of Veterans Affairs, 350 McAllister Street, San Francisco, CA 94102.

Information regarding these programs may be obtained from the University of Santa Clara veterans' counselor located in the Registrar's Office.



## **Graduate Courses**

# (Open to Graduate Students Only) Department of Accounting

Charles F. Louie, Ph.D. Chair

Professors: Thomas Maier, Ed.D., Charles F. Louie, Ph.D.

Associate Professors: Marlynn K. Bohman, Ph.D., Paul L. Locatelli, S.J., Ph.D.

Assistant Professor: Paul V. Harrell, M.Acc., James F. Sepe, Ph.D.,

K. C. Wang, Ph.D.

## 70.216. INTRODUCTION TO FINANCIAL ACCOUNTING (3 units)

Valuation of assets and income determination for external reporting.

## 70.216A FINANCIAL ACCOUNTING (4 units)

An analysis of the accounting principles, conventions, and concepts underlying the preparation of financial reports for investors. Asset and liability measurement conventions and their related impact on the measurement of the income of a business equity are emphasized. No prior accounting background is assumed.

## 70.217. FINANCIAL ACCOUNTING AND MANAGERIAL ACCOUNTING (3 units)

Valuation of liabilities; introduction to managerial accounting. Prerequisite: 70.216.

## 70.217A MANAGERIAL ACCOUNTING (3 units)

An analysis of accounting data and data collection methods used for management planning, control and decision-making. Profit planning, internal performance reporting, and the uses of accounting information for routine and non-routine decision-making are emphasized. Prerequisite: 70.216A

## 70.218. MANAGERIAL COST ACCOUNTING (3 units)

An intensive analysis of cost accounting including job-order, process, and standard cost systems, joint and by-product accounting, and variable costing. Advanced analysis of budgeting and relevant cost and revenue data for management planning, control and decision making. Designed for students planning to pursue careers in professional and corporate accounting. Prerequisite: 70.217 or 70.217A.

## 70.219. CORPORATE ACCOUNTING I (3 units)

An intensive study of the principles, conventions, and standards governing corporate asset accounting. Current pronouncements of accounting rule-making bodies dealing with asset accounting will be studied and evaluated in the context of the objectives of financial reporting. Prerequisite: 70.217 or 70.217A.

## 70.220. CORPORATE ACCOUNTING II (3 units)

An intensive study of the principles, conventions, and standards governing the accounting for liabilities and stockholders' equity. Other topics covered include the reporting of earnings, reports of financing and investment activities, and the impact of inflation on conventional accounting reports. Prerequisite: 70.217 or 70.217A.

## 70.221 SPECIALIZED FINANCIAL REPORTING PROBLEMS (3 units)

An analysis of partnership accounting and installment and consignment sales. Heavy emphasis on business combinations and consolidated financial statements. An overview of governmental accounting and accounting for not-for-profit enterprises. Prerequisite: 70.217 or 70.217A.

## **70.223. TAXATION I (3 units)**

An analysis of the Federal Income Tax with primary emphasis on the taxation of individuals. Topics covered include the definitions of Gross Income, inclusions and exclusions; business and nonbusiness deductions; adjusted gross income and taxable income; and capital assets and capital gains and losses. Prerequisite: 70.218.

## **70.224. TAXATION II (3 units)**

An analysis of the Federal Income Tax as applied to distributive shares of partnership income and the application of the tax laws to cororations. Income tax considerations influencing the choice of form of business organization, partnership vs. corporate form, and subchapter "s" treatment of small corporations will also be analyzed. Prerequisite: 70.223.

## 70.225. SEMINAR IN FINANCIAL ACCOUNTING THEORY (3 units)

Topics covered include the historical development of accounting principles and accounting thought, deductive normative models of accounting, recent developments in empirical research, and the role of accounting information in prediction and decision-making models. The role of research in accounting policy decisions of rule-making bodies will also be discussed. Prerequisite: 70.217 or 70.217A.

## 70.226. SELECTED TOPICS IN FINANCIAL ACCOUNTING THEORY (3 units)

A critical analysis of current accounting conventions and standards. Current pronouncements on generally accepted accounting principles will be analyzed in the context of financial reporting objectives. Prerequisites: 70.217 or 70.217A. 70.225 recommended.

## 70.227. PLANNING, BUDGETING AND CONTROL I (3 units)

The concepts and applications of planning for the revenues of a firm. Emphasis is placed on various tools for forecasting industry sales and the resulting firm's share. In addition, the general budget model of a firm is developed which quantifies the effect which forecasted sales has on various segments of a business. Prerequisite: 70.218.

## 70.228. PLANNING, BUDGETING AND CONTROL II (3 units)

A detailed examination of the accounting information system and its relationship to the firm's general budget model. Emphasis is placed on the information system and the use of the general budget model for budgeting and controlling the firm's operations. Prerequisite: 70.218.

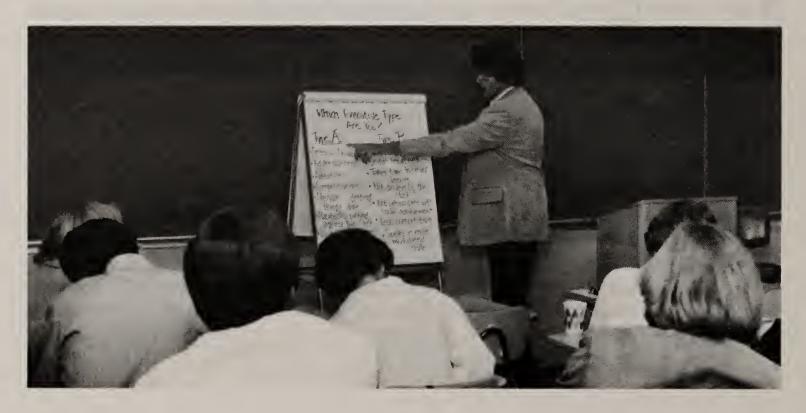
## 70.231. **AUDITING I (3 units)**

An introduction to the auditor's professional role in American society. Examines the auditing procedures used to accumulate evidence to support an independent opinion on the fairness of management's financial statements. Prerequisite: 70.218. Recommended: 70.219 and 70.220.

## 70.232. AUDITING II (3 units)

A continuation of 70.231, including detailed examination of concepts of testing, evidence, internal control and analysis of client systems. Prerequisite: 70.231 or an undergraduate course in Auditing.

## 70.319. DOCTORAL SEMINAR IN ACCOUNTING



## **Department of Economics**

Mario L. Belotti, Ph.D., Chair Professors: Mario L. Belotti, Ph.D., Thaddeus J. Whalen, Jr., Ph.D., William F. Donnelly, S.J., Ph.D., J. M. Heineke, Ph.D.

Associate Professors: Henry G. Demmert, Ph.D., Thomas Russell, Ph.D. Assistant Professors: Richard T. Coz, S. J., M.A., Masako N. Darrough, Ph.D., Philip Mirowski, Ph.D., Harold Shefrin, Ph.D., Robert K. Springer, Ph.D.

## 13.231. ECONOMIC ANALYSIS OF THE FIRM I (3 units)

The focus of 13.231 is on acquainting the student with elementary economic concepts and their relationship to business decision-making. Topics include basic supply and demand analysis, the theory of consumer behavior, the theory of cost and production; the effects of alternative market structures and alternative business policies on the pricing and output decisions of the individual firm, and selected areas in monopoly regulation. Prerequisite: 71.293.

## 13.232. ANALYSIS OF BUSINESS CONDITIONS I (3 units)

The focus of this course is on the macro, or aggregative aspects of the economy. The course aims at developing a thorough understanding of the operations of an economic system. Particular emphasis is placed upon economic forces which influence the level of income, employment, output, prices, interest rates, and economic growth. The course is especially designed to prepare future decision-makers to understand how an economy functions and to help them interpret, analyze and cope with aggregate economic phenomena.

## 13.233. ANALYSIS OF BUSINESS CONDITIONS II (3 units)

The objective of this course is an in depth study and analysis of selected macroeconomic topics. Emphasis will be placed upon the effects of expectations in a process of aggregate dynamic adjustment together with a presentation of the elements of growth theory and macrodynamics. Prerequisite: 13.231, 13.232.

#### 13.234. ECONOMIC POLICY (3 units)

This course examines and evaluates those actions taken by the government with the purpose of affecting economic life. The course places particular emphasis upon macroeconomic policies (monetary, fiscal, growth, international, etc.) which affect the current economic and business environment. The course is divided into three parts. The first part examines the complex of ideas (economic philosophies) governing, predisposing or coloring judgments on economic policy. The second part examines the goals, the tools and the end-means relationships of economic policy. The third part examines and evaluates selected economic policies and their impact on the economic and business environment. Prerequisites: 13.231 and 13.232.

## 13.235. URBAN ECONOMICS (3 units)

This is a course concerned with problems of the urban economy. Emphasis is on the planning function of managers of urban areas, especially with respect to location, land use, housing and transportation. Prerequisite: 13.231, 13.232.

## 13.236. ECONOMICS OF THE PUBLIC SECTOR (3 units)

In this course the role of the public sector in private sector decisions is examined. The case for collective action is developed by considering the problems posed by goods with poorly defined property rights. Of special interest is the origin of externalities and their effects on both private and public enterprises. Prerequisite: 13.231, 13.232.

## 13.237. ECONOMIC ANALYSIS OF THE FIRM II (3 units)

In this course, a wide range of firm decision problems are explored under a number of assumptions concerning firm goals, market "conditions" and firm technology. The focus throughout is on using economic theory as a framework for business problem solving. Topics include an analysis of the role of demand, production and cost functions in business decisions and their use in reaching the "best" decisions. Course work and assignments emphasize systematic use of available information in solving business decision problems. Prerequisite: 13.231, 13.232.

## 13.238. ECONOMETRICS I (3 units)

Econometrics I is a course in mathematical statistics designed to provide the theoretical background for regression analysis and analysis of variance. Prerequisite: 13.231, 13.232, 71.293.

#### 13.239. ECONOMETRICS II (3 units)

An introduction to the use of statistical methods as a tool for business planning and policy. Emphasis will be on estimating the general linear model and acquainting the student with the nature of the problems which arise when statistical models are applied to business and economic data. Prerequisite: 13.231, 13.232, 71.204.

## 13.240. ECONOMETRICS III (3 units)

This course is a continuation of Econometrics II and is primarily concerned with developing estimation techniques capable of coping with problems peculiar to business and economic data. Topics include generalized least squares, instrumental variables and two stage least squares. Each student is expected to successfully complete several estimation projects during the course. Prerequisite: 13.231, 13.232, 13.239.

## 13.241. FLOW OF FUND ANALYSIS (3 units)

This course focuses upon the financial system as a whole. Specifically, the course deals with the basic determinants of the supply of and demand for loanable funds; the manner in which funds are exchanged in the money and capital markets, the influence of demand and supply factors upon interest rates, the impact of changes in interest rates, money supply, and loanable funds upon the level of economic activity. The conceptual framework employed throughout the course is the flow of funds. Prerequisites: 13.231 and 13.232.

## 13.242. INTERNATIONAL TRADE AND DEVELOPMENT (3 units)

This course will focus on the international economic environment and the relationship between public policy and international business activity. The emphasis will be upon the application of principles and techniques of economic analysis to the following topics: Foreign trade and the national economy; the means of international payments; foreign exchange markets; investment overseas; balance of payments effects; competition and specialization; national attempts at control; international agreements and institutions; the process of economic development; and changing patterns of investment and trade. These topics will be treated in class lectures, discussions and short papers on policy issues of current interest. Prerequisites: 13.231 and 13.232.

## 13.243. INDUSTRIAL ORGANIZATION (3 units)

An advanced analysis of competition and business policy including the theory of price and non-price competition in different market structures. Emphasis is placed upon the structure, conduct and performance of various industries. In this setting, antitrust policy and public utility regulation are studied in terms of their underlying economic rationale and their outcome in practice. Prerequisite: 13.231 and 13.232.

## 13.244. MANPOWER PROBLEMS (3 units)

This course will examine the following topics: a) the manpower implications of technological change in an advanced economy; b) the determinants of technological change; c) the relative impacts of supply oriented manpower programs versus aggregate demand measures in dealing with unemployment; d) education and its economic implications, especially vis-a-vis manpower question; e) problems of racial and sexual discrimination; f) poverty in its employment related aspects. The course will build upon the student's training in macro- and microeconomic theory and quantitative methods to gain an appreciation of and some expertise in the area of human resource questions. Prerequisites: 13.231 and 13.232.

## 13.245. THE ECONOMICS OF PLANNING (3 units)

This course will survey the various theories and principles of economic planning adopted under different economic systems, but with special emphasis on the developing countries of the world. Analytical formulation of growth and development goals including optimal growth models and the problems and practices in implementing development policies will be stressed. Major topics within this framework will include: (1) an examination of quantitative techniques of planning such as input-output and mathematical programming; (2) techniques of investment decision and project selection with special emphasis on cost benefit analysis; (3) balance of payments strategies and policies; and (4) optimal fiscal and monetary policies in the development context. The course will be technique oriented and the case method will be used throughout. Prerequisites: 13.231 and 13.232.

## 13.246. BUSINESS AND ECONOMIC FORECASTING (3 units)

Statistical techniques used in the prediction of economic time series will be explored. Topics include trend estimation, estimation of autoregressive and moving average models; construction and use of econometric models and indices of economic activity. The perspective will be that of individual decision making units doing prediction for planning purposes. Prerequisites: 13.231, 13.232, and 13.239.

## 13.247. ENVIRONMENTAL ECONOMICS (3 units)

A course in application of microeconomic analysis to problems of environmental use. Special attention is given to: (1) problems incurred in modeling optimal environmental use; (2) explaining the advantages and disadvantages of various policy alternatives aimed at achieving optimal use of environmental resources; and (3) the methodology and design of environmental impact statements. The student will be required to critique an existing environmental impact report and to prepare such a report for a proposed development in the metropolitan area. Prerequisite: 13.231, and 13.232.

## 13.248. ECONOMICS OF NATURAL RESOURCES AND ENERGY (3 units)

The widespread concern with the natural limitations of resource supplies provides the focus for this course. The demand for energy and other natural resources will be explored in detail. The supply of these resources will be investigated, emphasizing the uncertain size of present resource reserves, as well as the uncertain future energy technologies. Among the topics discussed will be: (1) the current rate of resource depletion, (2) the effects of past government intervention in resource industries, and (3) the policy options for future resource development and use.

In addition, the relationship between energy use and the environment will be explored. The general issue of economic growth with limited natural resources will be discussed, from both national and international perspectives. This course will build upon the students' background in microeconomics using a variety of current readings. Prerequisite: 13.231 and 13.232.

## 13.298. SEMINAR IN APPLIED ECONOMIC RESEARCH (3 units)

This course will involve students in empirical research under close faculty supervision in order to develop an appreciation of the nature and limitations of economic measurements. An area of current economic interest will serve as the focal point of the seminar. Each student will be expected to undertake a limited empirical problem within the seminar area and to demonstrate an acceptable level of research proficiency. Prerequisites: 13.236, 13.237 and 13.239.

## 13.331. DOCTORAL SEMINAR IN ECONOMICS (3 units)

## **Department of Finance**

Pretorius Van den Dool, Ph.D., Chair Professors: Francis J. Corrigan, Ph.D., D. Robert Papera, Ph.D. Associate Professor: Pretorius Van den Dool, Ph.D. Assistant Professor: Meir Statman, Ph.D.

## 74.245 FINANCIAL MANAGEMENT I (3 units)

This course concerns a study of the principles and practices of financial management with particular emphasis on planning, analyzing and controlling flow of funds through a pool of assets. Additional areas studied include profitability, profit allocation, short and long-term financing, financial, operating and price leverage. The central concepts underlying the financial structure of the firm are presented and their impact on the firm's financial and operating environment is examined. Prerequisite: 70.216, 71.200A

## 74.246. FINANCIAL MANAGEMENT II (3 units)

This course is designed to unite theoretical and practical procedures into an analytical approach to financial decision-making. Lectures, class discussions and case materials are employed to apply both principles and practices to a wide variety of topics, including capital asset management under conditions of uncertainty, cost-of-capital, return on investment concepts, optimum capital structure, debt management, dividend policies and mergers and acquisitions. Prerequisite: 74.245.

## 74.247. DYNAMICS OF THE FINANCIAL SYSTEM (3 units)

Role and functions of financial institutions, financial flows, interest rate structures money and capital markets. The implications for the formulation of business financial policy will be highlighted. Prerequisite: 74.245.

#### 74.248. SEMINAR IN FINANCE (3 units)

An investigation of the theoretical foundations of Finance. Prerequisite: 74.246.

## 74.249. INVESTMENT PORTFOLIO MANAGEMENT I (3 units)

This course is designed for those who must make decisions about their own funds or about funds they manage for others including institutional investors. The objective of the course is to provide a theoretical framework of risk and reward analysis in order to make prudent decisions in investment analysis and portfolio management. Prerequisite: 74.246.

## 74.250. SEMINAR IN FINANCIAL RESEARCH (3 units)

A course designed to enable students to apply their knowledge of finance and research skills to a broad range of finance topics. Prerequisite: 74.246.

## 74.251. INTERNATIONAL MONETARY AND FINANCIAL RELATIONS (3 units)

This course examines in depth the functioning of the international monetary system and international financial arrangements. Topics covered include: transfer of international payments; foreign exchange markets; balance of payments; international liquidity; international portfolio and direct investment; international financial markets and institutions; international banking. Prerequisite: 74.245.

## 74.252. RISK MANAGEMENT AND INSURANCE (3 units)

A survey course to acquaint the student with the general principles of insurance and their application to financial management. Prerequisites: 74.245 and 74.246.

## 74.253. LIFE INSURANCE (3 units)

Topics include: the role of life insurance in economic security; types of life insurance and annuity contracts; selection, classification and treatment of risks; life insurance law; settlement options and programming; and special forms of life insurance. Prerequisites: 74.245 and 74.246.

## 74.254. INTERNATIONAL FINANCIAL MANAGEMENT (3 units)

This course deals with the financial problems of firms operating internationally. Topics covered: internal and external sources of funds, the foreign investment decision, financial structures, protection against foreign exchange losses, international working capital management, import and export financing. Prerequisite: 74.245.

#### 74.255. REAL ESTATE FINANCE (3 units)

A study of the risks, practices and problems involved in financing and investing in real property. Through case studies, analysis will be made of the economic background of cities, urban growth and structure and land use policies. Prerequisite: 74.246.

## 74.256. MANAGEMENT OF FINANCIAL INSTITUTIONS (3 units)

This course is concerned with the general management problems and policies of financial institutions. Part of the course is devoted to the analysis of management problems of commercial banks, part to the financial policy problems of non-bank financial institutions such as insurance companies, savings and loan associations, finance companies, and mutual funds. Prerequisite: 74.246.

## 74.257. INVESTMENT PORTFOLIO MANAGEMENT II (3 units)

An advanced treatment of portfolio management and security analysis. Topics covered: market efficiency, capital market theory, portfolio theory, index funds, option and hedging techniques. Prerequisite: 74.246; 74.249 strongly recommended.

## 74.345. DOCTORAL SEMINAR IN FINANCE (3 units)

## **Department of Management**

Joel K. Leidecker, Ph.D., Chair
Professor: Andre L. Delbecq, Ph.D.
Associate Professors: Warner O. Brandenburg, Ph.D., James L. Hall, Ph.D., Joel K. Leidecker, Ph.D., Dennis J. Moberg, D.B.A.
Assistant Professors: David F. Caldwell, Ph.D., James H. Morris, Ph.D., Barry Z.
Posner. Ph.D.

Acting Assistant Professor: Peter K. Mills, Ph.D.

#### 73.260. MANAGEMENT I—ORGANIZATION AND MANAGEMENT (3 units)

An exploration of the concepts, models, and applications of contemporary organization theory. Topics include goal setting, organization structure, planning and control systems, formal communication flow, and decision-making. Applications to ongoing organizational problems are stressed.

## 73.261. SOCIAL PSYCHOLOGY OF MANAGEMENT (3 units)

Continuation of 73.268 with emphasis on interpersonal relations and group dynamics, using an experiential (e.g., role-play) approach. Prerequisite: 73.268.

## 73.262. CONTRACT AND PROCUREMENT MANAGEMENT (3 units)

Study the methods and techniques for negotiating and administering prime contracts with various customers, such as the Federal Government, and subcontracts with vendors. Prerequisite:73.260 and 73.268.

## 73.263. MANAGERIAL DECISION-MAKING AND PROBLEM-SOLVING (3 units)

The process of defining, analyzing and implementing management decisions with special emphasis on the application of the behavioral and administrative sciences. Quantitative analysis is not included. Prerequisites: 73.260 and 73.268.

## 73.264. PERSONNEL ADMINISTRATION (3 units)

Exposure to the role and functions of the personnel department in business organizations, with particular emphasis on employment planning, selection and placement, employee evaluation, training and development, and compensation systems and legislation impacting personnel policies. Prerequisite: 73.260 and 73.268.

## 73.265 LEGAL AND SOCIAL ENVIRONMENT OF BUSINESS (3 units)

The general objective of this course is to enable the student to acquire a working background and understanding of the legal and social climate in which business operates. This requires a conceptual framework for analyzing and interpreting the issues and social forces that make the law and influence the decisions made in business.

## 73.266. BUSINESS POLICIES (3 units)

The study from a systems approach of policy development and formulation in organizations. The integration of the multiple viewpoints of the different functions in a firm is part of this approach. Case discussion is stressed. Prerequisites: 74.246, 70.208, and completion of Marketing core. This course should be taken during the last two quarters of attendance.

## 73.267. UNION-MANAGEMENT RELATIONS (3 units)

Course focuses on behavioral and legal dimensions of union-management relations. Analysis of cases used to apply conceptual material and to identify recurring problems. Prerequisite: 73.260 and 73.268.

## 73.268. MANAGEMENT II—ORGANIZATIONAL BEHAVIOR (3 units)

Analysis of optimum utilization of human resources in the organization. The course explores the contributions of the behavioral sciences to administrative practice and includes such areas as: Human motivation; Group dynamics; Interpersonal relationships; Management of conflict and change as an organizational variable. Prerequisite: 73.260.

## 73.269. COMMUNICATIONS (3 units)

The functional and dysfunctional aspects of the communication process with particular emphasis on communication patterns and barriers in small groups and large-scale organizations. Prerequisites: 73.260 and 73.268.

## 73.270. INTERNATIONAL MANAGEMENT (3 units)

An analysis of problems associated with international operations of business organizations. Prerequisites: 73.260 and 73.268.

## 73.271. SEMINAR IN COMPARATIVE ORGANIZATION THEORY (3 units)

This course has two related objectives. First, it examines in depth available research concerning the effectiveness of current organizational designs. Secondly, it reflects an awareness of the increasing importance of the service sector in our economy by involving members of the class in research on a variety of organizations, schools, unions, prisons, and hospitals. Prerequisites: 73.260 and 73.268.

## 73.273. SEMINAR IN ORGANIZATIONAL BEHAVIOR (3 units)

A course designed to allow a student the opportunity to apply the conceptual areas discussed in 73.260 and 73.268. Simulated experiential learning involving case analysis—group learning processes and non-academic resource personnel. Prerequisite: 73.260 and 73.268.

## 73.274. CAREER DEVELOPMENT (3 units)

The objectives of the course are for the student to learn concepts regarding career dynamics in organizations; and to formulate an individual career development plan based upon this understanding of organizational concepts, self-assessment of interests, values and skills, and the study of the professional labor market. Prerequisite: 73.260 and 73.268.

## 73.275. SOCIETY AND THE ENVIRONMENT (3 units)

An evaluation of problems in society as related to the organizational environment.

## 73.292. MORAL PERSPECTIVE ON THE POLITICAL ECONOMY (3 units)

Concentrates on conceptual foundation of government and business, comparative economic systems and selective issues in public policy, business practice and planning in the context of social responsibility and justice.

## 73.296. MORAL RESPONSIBILITY AND BUSINESS PRACTICE (3 units)

Examines issues of social justice and responsibility in terms of contemporary business policy and practice.

#### 73.297. BUSINESS ETHICS (3 units)

A consideration of ethical problems which grow out of business practices; an inquiry into competitive and interpersonal relationships.

## 73.360. DOCTORAL SEMINAR IN MANAGEMENT (3 units)

## **Department of Marketing**

Albert V. Bruno, Ph.D., *Chair Professor:* Albert V. Bruno, Ph.D. *Assistant Professor:* Tyzoon T. Tyebjee, Ph.D.

Assistant Professors: Shelby McIntyre, Ph.D., J. Michael Munson, Ph.D., Woodie

A. Spivey, Ph.D.

Acting Assistant Professors: Mary Ann O. Kriewall, M.B.A.

Lecturer: Lewis C. Winters, Ph.D.

## 72.277 PRODUCT MARKET PLANNING AND STRATEGY (3 units)

This course covers the strategic decision of product and market selection and an examination of the role of innovation in light of the firm's objectives. The decision process for the management of new products is introduced, and analytic tools to aid the product manager at different phases of the decision process are developed. Prerequisites: 72.280 and 72.282.

## 72.278. INTERNATIONAL MARKETING (3 units)

This course seeks to provide students with an understanding of the principles and practices of international marketing, beginning with small companies concerned only with exporting and ending with an examination of the multi-national corporation. Emphasis is on decision-making, with considerable use of case studies. Prerequisites: 72.280.

## 72.279. SMALL BUSINESS ENTREPRENEURSHIP (3 units)

This course is concerned with the evaluation of venture ideas and the conversion of these ideas into viable ventures. Class time is devoted about equally to a discussion of cases, lectures, and presentations by guest lecturers who have had experience relating to the starting of new enterprises (e.g., bankers, attorneys, entrepreneurs). Each member of the class is asked to develop a five-year business plan for a new enterprise as part of the course. Prerequisites: 72.280, and 74.245.

#### 72.280. MARKETING MANAGEMENT I (3 units)

This course focuses on the analysis of principles and methods of marketing from the viewpoint of management. The course covers the role of marketing in business, the nature of marketing organizations and institutions, and an introduction to marketing decisions and the environmental influence on them. Lectures will be supplemented by discussion of cases which are typical of situations faced in marketing management. Prerequisites: 71.200A, 70.216A.

#### 72.281. MARKETING THEORY (3 units)

Critical treatment is given to the development of a theoretical basis of marketing and to the economic aspects of marketing in its social, political, legal and economic environment. Prerequisite: 72.280.

## 72.282. MARKETING MANAGEMENT II (3 units)

This course exposes the student to a variety of decisions required for the effective marketing of goods and services for the consumer, industrial, and nonprofit sectors. This course relies primarily on the case method of instruction and requires a high degree of student participation. Prerequisite: 72.280.

## 72.283. BUYER BEHAVIOR (3 units)

The application of behavioral sciences to the understanding of buyer behavior. The influence of particular behavioral concepts such as motivation, personality, learning, perception, attitude, reference and family group on specific areas of consumer decision making is investigated. Decision processes of both consumer and industrial buyer are studied. A survey of current research findings, and their application to each of the above areas in terms of formulating and implementing marketing strategies is developed. Research methodologies are covered in sufficient depth to assist the student in appraising the validity and usefulness of consumer behavior research. Prerequisite: 72.280.

## 72.284. ADVERTISING MANAGEMENT (3 units)

A study of the role of advertising in marketing, the communication process, the definition of advertising objectives, strategy formulation, budgeting, media selection, copy testing, and evaluating advertising results. Prerequisites: 72.280.

## 72.285. SALES MANAGEMENT (3 units)

Managing the sales function incorporating current management and quantitative techniques together with concepts from the behavioral sciences. The various duties of the sales manager are studied in detail, but particular emphasis is placed upon the integrative nature of the tasks. Prerequisite: 72.280.

#### 72.286. MARKETING INSTITUTIONS (3 units)

A study of the history and economics of the various institutions through which goods pass between production and consumption. Prerequisite: 72.280.

## 72.288. CONCEPTS AND TECHNIQUES IN MARKETING RESEARCH (3 units)

The application of research methodology to the solving of marketing problems, and the application of marketing research to management thinking. The course will consider issues in research design and data analysis. Prerequisite: 72.280.

## 72.289. MANAGEMENT OF THE MARKETING RESEARCH PROCESS (3 units)

Evaluation of marketing research as an input to solving marketing problems; the use and usefulness of marketing research information to marketing managers; more emphasis on information as input to marketing problems, less on research design and data analysis. Prerequisite: 72.280.

## 72.290. RETAILING (3 units)

Primary emphasis is directed to the problems and opportunities facing retail institutions today in a complex and dynamic environmental framework. Modern management methods and tools for interrelating marketing and financial strategies are reviewed and illustrated. Case problems involving policy and procedure planning by firms of all sizes are assigned for analysis. Students will be asked to observe and analyze actual retailers in several small projects. Prerequisites: 72.280.

## 72.291. INDUSTRIAL MARKETING (3 units)

The application of marketing techniques to meet the needs of the producer, re-seller, and government markets. An industrial managerial viewpoint is emphasized and findings from the behavioral and quantitative sciences are used as the foundation for determining a successful marketing mix. Both lectures and case discussions are used to highlight the principles involved. Prerequisites: 72.280.

## 72.380. DOCTORAL SEMINAR IN MARKETING (3 units)



## **Department of Quantitative Methods**

Chaiho Kim, Ph.D, Chair
Professor: Chaiho Kim, Ph.D.

Associate Professors: Zeb L. Vancura, Drs. (Econ.), Steven Nahmias, Ph.D.
Assistant Professors: Rajat Deb, Ph.D., Charles Schmidt, Ph.D.
Acting Assistant Professors: Eng Ung Choo, Ph.D.
Lecturer: Dennis Burton, M.B.A., J.D.

## 71.200. STATISTICAL METHODS (3 units)

Introduction to probability and statistical analysis emphasizing applications to managerial decision problems. Topics include probability theory, sampling techniques, statistical estimation and hypothesis testing, simple correlation and regression analysis. Prerequisite: 71.293.

## 71.200A. STATISTICAL METHODS (4 units)

Introduction to probability and statistical analysis emphasizing applications to managerial decision problems. Topics include probability theory, sampling techniques, statistical estimation and hypothesis testing, simple and multiple regression analysis, analysis of variance, contingent table analysis, and non-parametric statistics. Prerequisite: 71.293.

## 71.201. DECISION SCIENCE (3 units)

Introduction to the use of mathematical models for managerial decisions. A good portion of this course is devoted to mathematical programming models; formulations, solution techniques, and interpretation of solutions. Traditional topics will include simulation, waiting lines, network analysis, or others at the discretion of the instructor. Prerequisites: 71.293 and 71.200 or 71.200A (or concurrent enrollment in 71.200A).

## 71.202. DECISION ANALYSIS (3 units)

This course will explore how decision analysis may be used to tackle complex decision problems facing business, non-profit, and public enterprises where the decision makers must take into account the uncertainties as well as many conflicting objectives. The purpose of decision analysisis to decompose a problem into two parts, one to deal with the uncertainties and the other to deal with multiple conflicting objectives. This course will examine how interdependent judgmental probabilities may be used to deal with the uncertainties and how scaling of preferences for consequences may be used to deal with conflicting objectives. The class will also review some well known applications of decision analysis. Prerequisites: 71.200 or 71.200A and 71.201 or permission of the instructor.

## 71.204. STATISTICAL RESEARCH METHODS I (3 units)

Introduction to multivariate statistical analysis used in research in behavioral science, marketing, psychology, education, and sociology. This course will provide a basic understanding of multivariate regression and correlation analysis, multivariate analysis of variance, and discriminants function analysis. Extensive use will be made of SPSS and other statistical software packages. Prerequisite: 71.200 or 71.200A.

## 71.205. STATISTICAL RESEARCH METHODS II (3 units)

This course is the continuation of Statistical Research Methods I. Topics will include canonical analysis, principal components and factor analysis. Extensive use will be made of SPSS and other statistical software packages. Prerequisite: 71.204 or equivalent.

## 71.206. COMPUTER SIMULATION MODELS (3 units)

This course will examine how computer simulation can be used for the design and operation of complex processes or systems. The methodological topics to be covered include design, validation, operating procedures, and analysis of results of computer simulation experiments. The examples of the applications of computer simulations to be studied through the course include different types of scheduling such as assembly line and job shop, distribution system design, inventory reordering design, waiting line systems, and the manufacturing processing design. Prerequisites: 71.200 or 71.200A and 71.294 or equivalent.

## 71.207. MANAGEMENT INFORMATION SYSTEMS (3 units)

The conceptual background and structure of computer-based information systems. Both the theory and practice of information system will be covered in detail. Topics include modern data processing technology and its applications, information structure, systems concepts, systems analysis and evaluations, management and technological consideration of information systems, tools and techniques of information systems with case studies. Prerequisite: 71.294 or equivalent.

## 71.208. PRODUCTION MANAGEMENT (3 units)

A survey of problems and techniques of analysis related to the production of goods and services. Problem areas considered include locating and designing of physical facilities, demand forecasting, production planning and scheduling, distribution systems, inventory control and material requirement planning, project management, and quality control. The problems are analyzed through the uses of statistical analysis, decision science techniques, as well as intuitive and heuristic rules. Some cases are used to facilitate class discussions and to emphasize an integrated approach to decision making. Prerequisites: 71.200 or 71.200A and 71.201.

## 71.210. OPERATIONS RESEARCH (3 units)

This course reviews the fundamental models in operations research for controlling stochastic environments. A brief review of probability concepts will be followed by discussion of Markov processes with application to such areas as brand switching, waiting line processes, and inventory control models. Prerequisites: 71.200 or 71.200A and 71.201.

## 71.211. LINEAR PROGRAMMING AND EXTENSIONS (3 units)

This course will explore how the linear and nonlinear programming techniques may be used to solve the different types of managerial decision problems. It is designed to provide the student with some realistic experience in formulating and solving mathematical programming problems for business applications. The students will study in some depth the problems which arise in formulating the mathematical models, those which arise in carrying out the numerical solution techniques as well as those which arise in implementing the solutions. Prerequisites: 71.200 or 71.200A and 71.201.

## 71.212. SPECIAL TOPICS IN MANAGEMENT SCIENCE (3 units)

This course will explore in great depth one or possibly two important topics in the areas of Management Science and Operations Research. The topics selected will depend on the mutual interests of the students and the instructor. Some of the topics which may be selected for study are the network optimization, integer and combinatorial programming, nonlinear programming, stochastic programming, Markov chains, optimization for large systems, inventory theories, queuing theories, and the game and competitive bidding theories. Prerequisites: 71.200 or 71.200A and 71.201.

## 71.215. FORECASTING METHODS (3 units)

The objective of this course is to enable the student to (1) identify analytical methods that are likely to be successful in a given forecasting situation, and (2) evaluate the alternatives in order to select the most appropriate method consistent with data, accuracy and cost considerations. Topics include: time series analysis using moving averages, exponential smoothing, seasonal adjustment, and autoregressive models; forecasts using simple and multiple linear regression; forecasting new product growth; and techniques for evaluating and comparing alternative models. Prerequisites: 71.200 or 71.200A and 71.201.

## 71.293. MATHEMATICAL ANALYSIS (3 units)

The general purpose of this course is to enable the student to acquire a basic background and understanding of fundamental concepts and manipulations of differential and integral calculus. Prerequisites: Satisfactory score on Mathematics Leveling Examination or permission by Petitions Committee.

## 71.294. COMPUTER LABORATORY (1 unit)

An intensive eight-hour course in computer programming using a high level language. This course is designed primarily for individuals without any background in computer programming. Computer programming exercises using either a batch processing or a timesharing system will be required.

## 71.295. COMPUTER CONCEPTS (3 units)

Concepts, techniques and problems related to the use of the computer in the solution of business problems. Programming using high level languages in both timesharing and batch environment. Topics include sequential and non-sequential file processing, data structure and their applications, report generation, and computational algorithms. Students may not take both 71.294 and 71.295.

## 71.296. MANAGEMENT OF DATA (3 units)

This course examines in depth the concepts and techniques of management of large-scale data using computer systems. This requires an understanding of data organization, programming, communication, and management. Topics include elementary data structure, data storage, file structures and file management; file access methods, searching and sorting of files; file maintenance; data communication and an introduction to generalized data management systems. Application and case studies. Prerequisite: 71.207 or 71.295.

## 71.297. DESIGN AND MANAGEMENT OF DATA BASES (3 units)

This course is a logical follow-up of 71.296. This course examines the historical development of generalized data base management systems. Data base management concepts and data base models such as hierarchical, network, and relational will be covered in detail. Data base management systems implementations and languages will be discussed. Application and case studies. Field trips may be arranged and a guest lecturer from local industry may participate in this course. Prerequisite: 71.296.

## 71.300. DOCTORAL SEMINAR IN QUANTITATIVE METHODS (3 units)



## **Agribusiness Courses**

## 75.330. PUBLIC POLICY AND THE AGRICULTURAL SECTOR (3 units)

A study of the effect government policies and programs since 1933 have had on (1) the price structure and competitiveness of agricultural products on the domestic and export markets, (2) agricultural labor through poverty programs, minimum wage and labor law legislation, and foreign-labor contract policy, and (3) on production methods through curtailment of insecticides, etc.

## 75.360. MANAGERS' SEMINAR IN AGRIBUSINESS (3 units)

This introductory course sets the scope of the work to follow in the agribusiness course sequence. Emphasis is on current issues both in the United States and international agribusiness. Topics include issues in areas such as processing and distribution, financing enterprises, project development, and international trade. A unique feature of the course is that it is taught primarily by members of the Institute's Advisory Board, which is listed elsewhere, along with other top managers from industry, government, and related agencies.

## 75.361. FARM-RANCH MANAGEMENT (3 units)

A study of posible combinations of land endowment, material capital, and human resources emphasizing the effective utilization of labor through the organization and management of the work unit.

## 75.362. PROJECT PLANNING (3 units)

An introduction to those evaluation and planning techniques—such as profit analysis, cost-benefit analysis, linear programming, budgeting—necessary in preparing proposals, financing, and managing agribusiness projects.

## 75.370 MANAGING THE AGRIBUSINESS ENTERPRISE I (3 units)

## 75.371. MANAGING THE AGRIBUSINESS ENTERPRISE II (3 units)

A two-sequence capstone course integrating problems of production, marketing, and finance as they relate to management decisions in agribusiness. Cases from agribusiness firms are used to point up the social and legal framework within which management decisions must be made. 75.371 must be preceded by 75.370.

## 75.380. MARKETING PRODUCTS OF THE AGRICULTURAL SECTOR (3 units)

Building on the basic marketing and economic courses, the emphasis of this course is on the competitive market structure, the marketing institutions and marketing problems of agricultural products at both the domestic and international levels.

## 75.381. AGRICULTURAL PRICE ANALYSIS (3 units)

Principles of price determination of agricultural products, price differences and variability, and pricing institution. Emphasis is placed on empirical analysis involving techniques such as regression. Prerequisite: 13.231.

## 75.382. COMMODITIES AND FUTURES (3 units)

An introductory course that focuses on the commodities traded on futures exchanges. A highly applied course, it is designed to improve the student's ability to analyze a commodity system and put this to beneficial use. Topics include the function and operation of futures markets, price movements and determinants of price, speculative trading approaches, and technical and fundamental analysis. Students play a computerized trading game where they invest in the commodities market.

## 75.383. HEDGING AND THE COMMERCIAL USE OF THE COMMODITY FUTURES MARKETS (3 units)

The use of the futures market to manage price risk by commercial firms. The course is designed to give practical exercise in hedging in the futures market. Topics include the measurement and management of price risks, the objectives of hedging and alternative approaches, cash-futures price relationships and development of a hedge plan. Students make hedging decisions for a case firm, utilizing the commodity futures trading game. Frequent assignments are made, with students taking the role of risk manager for a firm.

## 75.384. SEMINAR IN COMMODITIES (3 units)

This course is an advanced course in commodities which is designed to allow a student to explore a topic of his or her interest. It is specifically designed for the empirical investigation of speculative and/or hedging strategies, price prediction models, price behavior, evaluation of futures market performance and other topics.

## 75.385. COMMODITY AND FUTURES TRADING EXERCISE I (0 units)

## 75.386. COMMODITY AND FUTURES TRADING EXERCISE II (0 units)

## 75.387. COMMODITY AND FUTURES TRADING EXERCISE III (0 units)

Students manage a commodity trading account. Each student makes daily buying, selling, or no position decisions. A computer program provides weekly summaries of each participant's performance.

## 75.390. FIELD EXPERIENCE (3 or 6 units)

A specially designed job situation in which the student studies a problem of particular importance to the company's management. The activity culminates in a written report providing reocmmendations for action and supporting analysis.

## 75.391. INDEPENDENT RESEARCH (3 units)

A written in-depth study in an area approved by the director, usually involving field collection of data. The report is prepared in the first summer session.

## 75.392. FIELD INVESTIGATIONS I (0 units)

## 75.393. FIELD INVESTIGATIONS II (0 units)

## 75.394. FIELD INVESTIGATIONS III (0 units)

A series of ten trips visiting agricultural supply, production, processing, and distribution firms in California and Mexico. Conferences with managers of firms are emphasized as a basis for analysis of the firms' economic structure and operating procedures.



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| Fred C. Schwarz, Ph.D        |                               |
|                              | ship Development              |
| James Niles, Ph.D.           | Acting Director, Institute of |
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| Charles F. Louie, Ph.D       | Chair, Accounting Department  |
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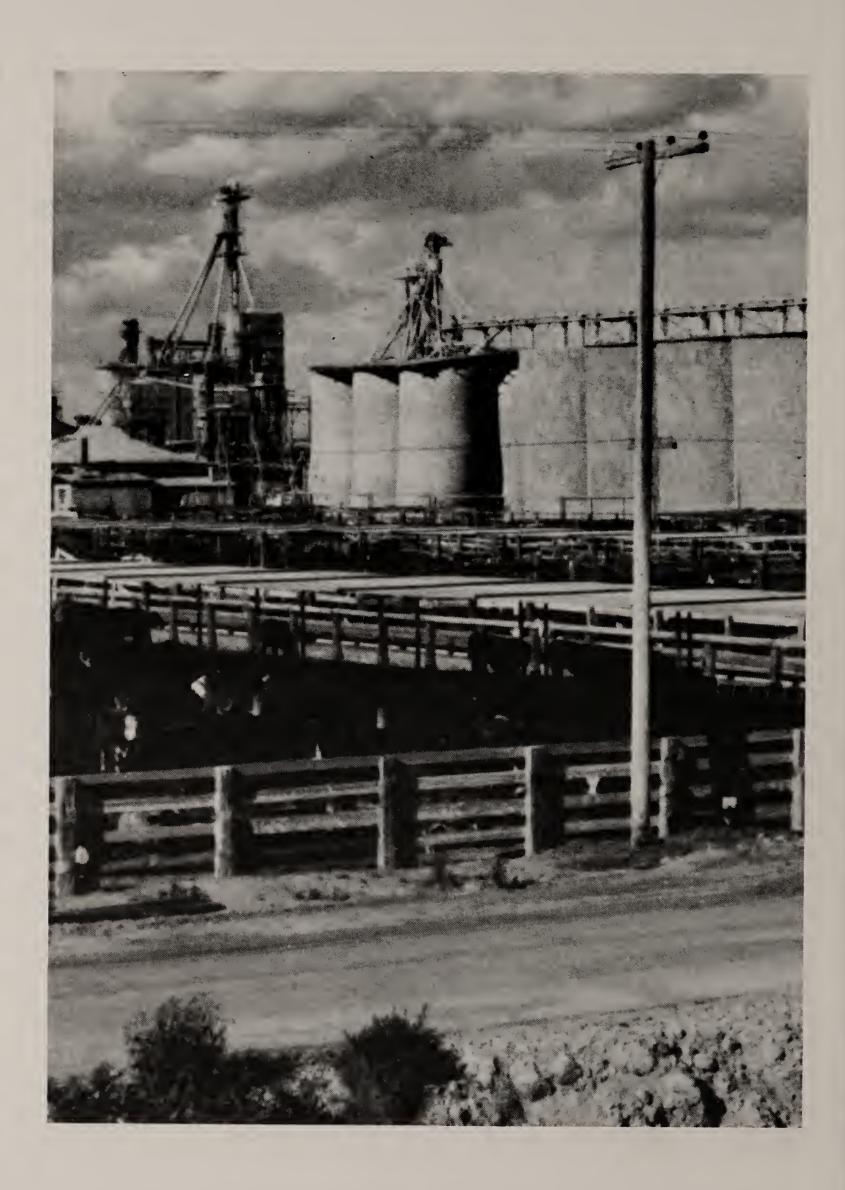
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#### JOHN L. WOOLF

President
Woolf Farming Co. of Calif., Inc.

#### DR. EMIL MRAK

Chancellor Emeritus
University of California, Davis



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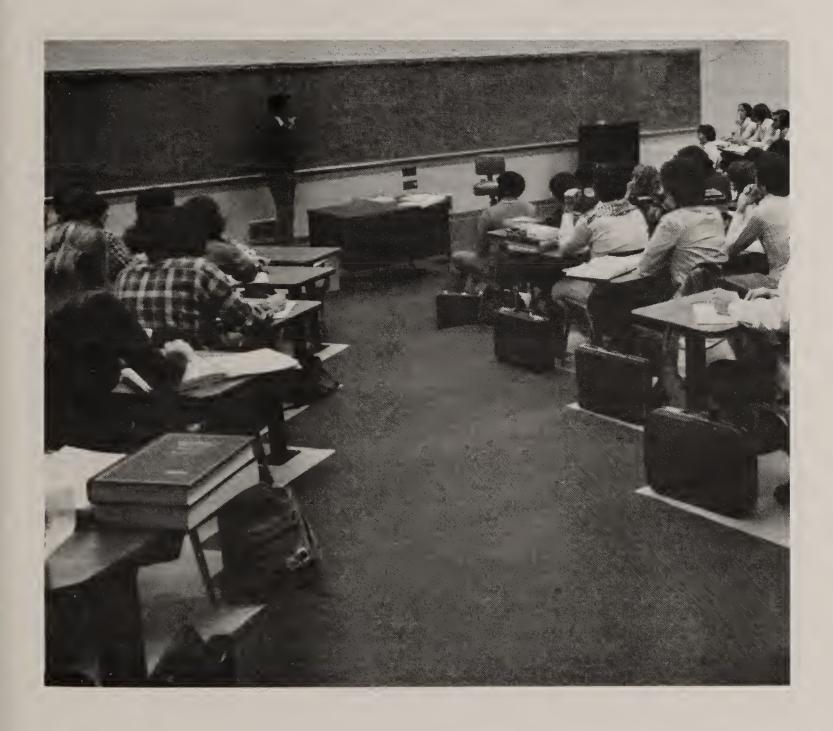
Dean and Professor of Business Administration, Emeritus B.S.C., M.S.C., Saint Louis University; LL.D., University of Santa Clara

# **JOHN PAGANI (1954)**

Professor of Business Administration. Emeritus B.S.C., University of Santa Clara; Ph.D., Stanford University

# JOSEPH M. TRICKETT (1958)

Professor of Business Administration, Emeritus; Former Director, Center for Leadership Development Ph.B., Washburn University; M.B.A., Ph.D., Stanford University.



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# GRADUATE SCHOOL OF BUSINESS AND ADMINISTRATION

#### DALE D. ACHABAL (1980)

Assistant Professor of Business Administration B.A., M.A., San Francisco State University; Ph. D., University of Texas

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Professor of Economics and Business Administration and Chair, Department of Economics B.A., M.A., Midwestern University; Ph.D., University of Texas

### MARLYNN K. BOHMAN (1969)

Professor of Business Administration B.S., University of Utah; M.B.A., University of California; C.P.A., State of California Ph.D., University of Utah

#### **ALBERT V. BRUNO (1971)**

Professor of Business Administration and Chair, Department of Marketing B.S., M.S., Ph.D., Purdue University

#### **DENNIS BURTON (1977)**

Lecturer in Business Administration B.S., J.D., University of New Mexico; M.B.A., University of California, Los Angeles

#### **DAVID F. CALDWELL (1978)**

Assistant Professor of Business Administration B.A., Whitworth College, M.S., Washington State University Ph.D., University of California, Los Angeles

#### **ENG UNG CHOO (1979)**

Acting Assistant Professor of Business Administration

B.Sc., Nanyang University; M.Sc., Ph.D., University of British Columbia

#### **DENNIS J. COLLINS (1980)**

Assistant Professor of Business and Administration

B.S., M.A., Northern Illinois University; Ph.D., University of Iowa

"As a usually critical person, I must say that the faculty at Santa Clara, with few exceptions, proved to be truly outstanding. My expectations were far exceeded. The faculty seemed to always be on top of the most current knowledge in their field and presented the material in a very organized fashion that made it very enjoyable for the student."

Robert R. Bowman, BSME, Loyola (LA), MSIE, University of Southern California, MBA (1977), Santa Clara, Manager, Reliability Assurance, Signetics Corporation, Sunnyvale, California.

#### FRANCIS J. CORRIGAN (1967)

Professor of Business Administration B.S.C., Saint Louis University; M.B.A., Stanford University; Ph.D., Saint Louis University

#### **RICHARD T. COZ, S.J. (1963)**

Assistant Professor of Economics and Business Administration
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#### **MASAKO N. DARROUGH (1976)**

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B.A., International Christian University, Tokyo; Ph.D., University of British Columbia

#### **RAJAT DEB (1979)**

Assistant Professor of Business Administration

B.Tech., Indian Institute of Technology, M.S., Ph.D., Syracuse University.

# ANDRÉ L. DELBECQ (1979)

Dean and Professor of Business Administration

B.B.A., University of Toledo; M.B.A., Ph.D., Indiana University

#### **HENRY G. DEMMERT (1968)**

Associate Professor of Economics and Business Administration

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B.S.C., M.S.T., University of Santa Clara; M.A., Gonzaga University; Ph.D., New York University

#### **KAREN F. A. FOX (1980)**

Assistant Professor of Business Administration and Director of Graduate Education

A.B., Ph.D., Stanford University; M.A.T., Harvard University; M.B.A., Northwestern University

#### **WILLIAM C. FREDERICK (1980)**

Visiting Professor of Business Administration and Charles J. Dirksen Professor of Business Ethics

B.A., Ph.D., University of Texas, Austin

"I found the faculty extremely cooperative and available for one-on-one discussions. This was both surprising and helpful. I took advantage of this situation much more so than I had at the undergraduate level."

Alyn T. Beals, BSCE, MBA (1971) Santa Clara, Vice President, Paul B. Martin & Associates, General Contractors, Redwood City, California.



#### **JAMES L. HALL (1971)**

Associate Professor of Business Administration

B.A., Bates College; M.B.A., Rutgers University; Ph.D., University of Washington

#### PAUL V. HARRELL (1963)

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versity of Iowa

Professor of Economics and Business Administration B.S., St. Ambrose College; Ph.D., Uni-

# **DAVID R. HENDERSON (1980)**

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Ph.D., University of California, Los Angeles

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Acting Assistant Professor of Business Administration

B.A., M.A., Hebrew University of Jerusalem

#### **ROBERT F. JACOBS (1961)**

Lecturer in Business Administration J.D., University of Santa Clara

#### **JOSEPH P. KELLY (1939)**

Lecturer in Business Administration Ph.B., Holy Cross College; J.D., LL.M., New York University

#### **CHAIHO KIM (1964)**

Professor of Business Administration and Chair,

Department of Quantitative Methods B.A., Ouchita College; M.S., Ph.D., Columbia University

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Acting Assistant Professor of Business Administration B.A., M.B.A., Stanford University

#### RICHARD A. LABARGE, SR., (1980)

Visiting Professor of Business Administration

B.A., University of Michigan; M.A., Tulane University; Ph.D., Duke University

"The enthusiasm and dedication of almost all the faculty was unparalleled by any of the other schools that I attended. Most of them took a sincere interest in the student, particularly those of us who applied ourselves, and were always available for consultation."

Marcus Cohen, B.S.E.E., Massachusetts Institute of Technology, M.S.E.E., Stanford University, M.B.A. (1977), University of Santa Clara; Manager, Space Communication, Watkins-Johnson, Palo Alto.

#### **THARAN LANIER (1975)**

Lecturer in Business Administration B.S.C., M.B.A., University of Santa Clara

# **JOEL K. LEIDECKER (1969)**

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B.A., M.B.A., Ph.D., University of Washington

#### PAUL L. LOCATELLI, S.J. (1974)

Associate Professor of Business Administration and Academic Vice President

B.S.C., University of Santa Clara; D.B.A., University of Southern California; M.Div., Jesuit School of Theology, Berkeley; C.P.A., State of California

#### **CHARLES F. LOUIE (1963)**

Professor of Business Administration and Chair, Department of Accounting B.S., M.B.A., Ph.D., University of California at Berkeley

#### **THOMAS MAIER (1967)**

Professor of Business Administration B.S., M.S., D.Ed., Temple University; M.B.A., University of Santa Clara; C.P.A., State of California

#### **ANTHONY L. MALO (1965)**

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#### **SHELBY McINTYRE (1975)**

Acting Assistant Professor of Business Administration B.S.I.E., M.B.A., Ph.D., Stanford University

#### PETER K. MILLS (1979)

Acting Assistant Professor of Business Administration

B.S., M.B.A., California State University, Long Beach; Ph.D., University of Stockholm, Sweden

#### **PHILIP MIROWSKI (1978)**

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#### **DENNIS J. MOBERG (1975)**

Associate Professor of Business Administration

B.S., University of Wisconsin; M.B.A., University of South Dakota; D.B.A., University of Southern California

"Often a professor's lecture would lead to an intense discussion by members of the class who were intimately familiar with the application of the lecture principle in day-to-day working situations. While some professors may find this situation to be slightly threatening, I did not find this to be the case at Santa Clara. Rather, the faculty adapted to the sophistication of their students and utilized discussions effectively."

L. Barton Alexander, BSEE, MS, Carnegie Mellon, MBA (1975) Santa Clara, Vice President and Treasurer, Electro Scientific Industries, Portland, Oregon.

#### JAMES H. MORRIS (1979)

Assistant Professor of Business Administration

B.S., M.B.A., San Diego State University; Ph.D., University of Oregon

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#### DAVID R. PALMER (1980)

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#### **D. ROBERT PAPERA (1960)**

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B.A., M.B.A., Ph.D., University of Chicago

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#### **HAROLD SHEFRIN (1978)**

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#### **WOODIE A. SPIVEY (1977)**

Assistant Professor of Business Administration

B.A.E, Georgia Institute of Technology; M.M.S., Texas Christian University; Ph.D., University of Houston

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Assistant Professor of Economics and Business Administration B.A., The College of Wooster; M.A., Ph.D., University of Illinois at Urbana

#### **CLAIRE STARRY (1973)**

Lecturer in Economics and Business Administration

B.S., University of Santa Clara; Ph.D., University of Washington

#### **MEIR STATMAN (1980)**

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B.A., M.B.A., Hebrew University; Ph.D., Columbia University

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Professor of Business Administration B.S.A., Purdue University; M.B.A., Harvard University; Ph.D., Purdue University

#### **TYZOON T. TYEBJEE (1977)**

Associate Professor of Business Administration

B. Tech. Indian Institute of Technology; M.S. Illinois Institute of Technology; M.B.A., Ph.D., University of California, Berkeley

# **ZEB L. VANCURA (1962)**

Associate Professor of Business Administration

A.B., Drs. (Econ.), Netherlands School of Economics

#### **PRETORIUS VAN den DOOL (1965)**

Associate Professor of Business Administration and Chair, Department of Finance

B.A., M.A., Ph.D., University of Oregon

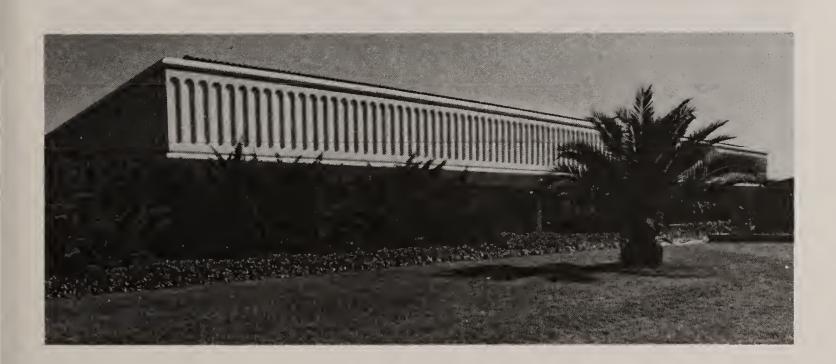
# **THADDEUS J. WHALEN, JR. (1962)**

Professor of Economics and Business Administration and Director of Undergraduate Education

B.S., University of Santa Clara; A.M., Ph.D., University of California at Berkeley

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# **ROBERT HUMPRHEYS**

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M.S., California State University at Los Angeles
M.B.A., University of Santa Clara

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| Beverly Wynia    | Finance Department                |



# **APPENDIX**

# REGULATIONS —M.B.A. PROGRAM

The framework of regulations is intended not to bind the student to a labyrinth of bureaucratic requirements. Rather, they are intended to protect the quality of the Program. Two Committees are authorized to administer the regulations of the Program:

Admissions Committee—makes admissions decisions including admissions, reconsideration, leaves of absence, and readmittance.

**Petitions Committee**—determines equivalency of prior academic work, decides cases regarding academic standing, responds to petitions regarding policy interpretation, determines the number and type of courses required for graduation.

A student requesting a ruling must submit a written petition to the Admissions or Petitions Committee.

#### **Determination of Regulations**

The Dean is directly responsible for all regulations associated with the M.B.A. Program. The Dean is advised by the M.B.A. Policy Committee regarding changes in these regulations. The Admissions or Petitions Committee may recommend that the M.B.A. Policy Committee review a particular regulation and make a recommendation to the Dean for modification.

#### **Regulations Administered by the Admissions Committee**

Reconsideration of an Admission Decision. Applicants who are refused admission by the Admissions Committee may petition to the Committee that this decision be reconsidered. Such a petition requires an additional \$10 fee and may further require the candidate to submit additional information before the Committee will consider reversing its decision. This fee will be returned to the candidate if the original decision was the result of a miscalculation or error regarding the information at the Committee's disposal when the original decision was made.

Deferring Admission to a Later Term. Candidates admitted to the M.B.A. Program may request permission to defer their admission to a subsequent term. These deferments are granted only if there is space available in the class, if the candidate's record merits the deferment, and if the student notifies the Admissions Committee at least two weeks prior to the candidate's initial registration date. Candidates will be given a deferment of up to two terms beyond that for which they were originally accepted. Otherwise, they must formally reapply. Individuals granted a deferment must agree not to enroll at any other college or university unless it is to attain college algebra proficiency.

Admission on Probationary Status. In some instances, applicants are accepted into the Program on probation. The terms of this probation are outlined in the acceptance letter.

Leaves of Absence. A leave of absence will be granted only for a specified period of time and normally not beyond one year. When a student plans to return from a leave of absence, it is necessary to give notice to the Admissions Committee on written petition ten weeks prior to date of registration.

Readmittance. Any student who does not register during a regular quarter of the academic year (summer session excluded) will be placed on the inactive list unless he or she is given a leave of absence. When a student is placed on the inactive list, it is necessary that a Graduate Application Form and application fee be submitted and the student will be considered for readmission on the same basis as a new applying student. The applicant will also be required to fulfill any additional requirements which the faculty may adopt during the interim period between registrations.

A student who is on a leave of absence or has been placed on the inactive list must also state whether or not any other school (s) has been attended since withdrawing from the University of Santa Clara. If the student has attended another school, all transcripts must be sent to the M.B.A. Admissions Committee, Graduate School of Business and Administration, University of Santa Clara, Santa Clara, Claifornia, 95053. All work must be at a satisfactory level in order for the student to be readmitted.

Contingent Acceptance. Under some circumstances, the M.B.A. Admissions Committee may accept a candidate contingent upon the receipt of additional information. The acceptance is cancelled if the candidate does not submit the information before the conclusion of the late registration period in the term accepted.

Waiver of the Required Submission of Admission Documents. Students may request a waiver of transcripts of college or university work if they can show that such transcripts are not available from the granting authority. Waivers of this kind will be granted only if the student asserts in writing that he or she graduated or withdrew from said university in good standing.

Candidates may request a waiver of the G.M.A.T. examination if they have a master's degree or doctoral degree from an accepted university in an accepted course of study. Those granted such a waiver must assert in writing that they have never taken the G.M.A.T. (formerly A.T.G.S.B.) test. Requests for waivers of the G.M.A.T. will be considered only after all transcripts are on file.

"I earned my MBA at Santa Clara for two reasons: to enhance my technical background with basic business skills and to change the direction of my career. Since completing the Program, I have move from a position with one company as a highly technical computer system software specialist to a position with a new company on a small team which has the responsibility for preparing the corporate budget."

Stephen S. Jones, A.B., University of California — Berkeley, M.S., Northwestern Univ., MBA (1977), Santa Clara Financial Analyst, Advanced Micro Devices, Sunnyvale, California.

#### **Regulations Administered by the Petitions Committee**

Academic Standing. To qualify for the M.B.A. degree, a student must maintain an overall grade point average of 2.25 in all work taken in the Graduate School of Business and Administration. A "C" grade is considered a minimum passing grade in each course. A "D" or "F" grade is considered a failing grade, and the units will not be counted toward graduation requirements.

Upon completion of the first three or more courses, if a student's cumulative grade point average falls below 2.25, the student will be placed on probation. Once placed on probation in this manner, a student must raise his or her cumulative grade point average to the 2.25 level in the next term of attendance. Otherwise, he or she will be disqualified from the M.B.A. program.

"Whenever I think about Santa Clara, I am most impressed by one teaching technique —placing topics in a conflict situation. One side of the room would be made to advocate one solution while the other would argue another position. It is this recognition of conflict that I feel prepared me best for the type of work I do in research."

Richard Emmick, A.B., Whitman College, MBA (1973) Santa Clara, Senior Operations Analyst, Stanford Research Institute, International, Menlo Park, California.

The Computer Laboratory (71.294) is a Pass/No Pass course and is excluded from all calculations of grade point average. Additionally, grades in classes waived but nonetheless enrolled in will not count in the determination of grade point average.

Residency Requirement. The maximum amount of time a student may remain in the M.B.A. Program without completing the degree requirements is six years, including leaves of absence.

Withdrawals. Students may withdraw from courses prior to two weeks before the date on which the final examiantions begin. Any student withdrawing prior to the third meeting of any regularly scheduled class will receive a refund of two-thirds of the tuition for that course. Any student withdrawing prior to the sixth meeting will receive a refund of one-half of the tuition for that course. No refunds of tuition will be made after that time.

When a student withdraws from the University or from a course, a Withdrawal form must be submitted to the Administrative Office of the Graduate School of Business and Adminsitration. This cannot be done by mail or by telephone, but must be done in person.

Withdrawal from the University is not officially complete until student clear all of their financial obligations with the Office of Student Accounts. Students on deferments or National Direct Student Loans must also clear their financial obligations with the Office of Credit and Collections.

Incompletes. A student's work may be reported incomplete if some essential portion of the work in the course remains unfinished after the final examination. An incomplete becomes a failure (F) unless the unfinished work is completed to the satisfaction of the instructor within a period of four weeks after the beginning of the next scheduled quarter (not including the Summer Session) whether or not the student is registered during that quarter.

Concurrent Enrollment. A student in the M.B.A. Program will not be allowed concurrent enrollment in the University of Santa Clara's other academic programs or at any other university with two exceptions: the J.D./M.B.A. combined program and in order to obtain college algebra proficiency. This includes the Summer Session.

Prerequisites. Students are responsible for assuring that they have all the prerequisites before registering for a course requiring same. Students registering for courses without the necessary prerequisites at the time of registration will receive no credit or waiver for the course completed, regardless of their grade, and will be granted no tuition refund. Prerequisites for any course are listed in the appropriate course descriptions in this bulletin with the exception of courses taken as electives, which require completion of all of the Tool Courses as a prerequisite.

Courses Required for the M.B.A. Degree. After the Admissions Committee has admitted a candidate to the M.B.A Program, his or her transcripts and other records are submitted by the Admissions Committee to the Petitions Committee for determination of the courses required for the Degree. The Petitions Committee makes an assessment of the candidate's previous academic record and informs the candidate of the evaluation in the acceptance letter. The equivalency rules used in this and all subsequent evaluations are abbreviated in the Table on pages 88-89.

This initial evaluation may be challenged by the student through a written petition providing documentation (e.g., course syllabi, catalogs, lists of required texts, etc.). Students who fail to obtain their desired waivers of the Remedial and Tool courses after submission of this written petition may still accomplish the waiver by passing the appropriate Leveling Examination. Leveling Examinations are administered before the beginning of each term, and the student may take any one Leveling Examination a maximum of two times. Leveling Examinations will not be administered to a student after the beginning of the student's third term in residence. No make-up exams are given for the Leveling Examinations.

The initial evaluation of the equivalence of an incoming student's background in the Functional Area Courses, Core Courses, and Elective Courses may also be challenged by written petition. However, all such petitions must be filed no later than five weeks before the beginning of the student's third term in residence. Accordingly, by the beginning of the student's third term in residence, the student will have an approved program of study which will not be altered through any proof of the equivalency of the student's prior work. The decisions of the Petitions Committee are final at that time, and no further petitions regarding equivalency and no further Leveling Examinations results will be considered after that time.

Graduate Credit. The equivalency rules appearing on pages 88-89 do not relate to graduate courses except as cited in the Electives section. In general, graduate credit will be granted for the Remedial and Tool Courses on the basis of the equivalence between the graduate courses completed and the Remedial or Tool Course (s) involved. There is no maximum number of Remedial and Tool Courses which may be waived by graduate course equivalencies.



"I am involved in all aspect of costing a variety of data processing products. This involvement ranges from understanding burden rates to make versus buy decisions to price elasticity studies. My ability to understand and make decisions on these various issues has been immeasureably improved through my MBA experiences. From accounting to marketing to management, I find the skills obtained from the various classes to be beneficial in every facet of my job."

Thomas J. Clarke, BSME, University of Washington, MBA (1975) Santa Clara, Manger of Cost Engineering, IBM Corporation, San Jose, California.

The maximum number of graduate credits allowed for Functional Area Courses, Core Courses, and/or Elective Courses is six quarter units. As a general rule, graduate credit toward these 14 courses will be granted ony if the graduate course:

- 1. was open to graduate students only,
- 2. was taken by the student as a regularly matriculated graduate student,
- 3. was completed by the student with the equivalent of a "B" or better,
- 4. carries graduate credit, and
- 5. is considered by the Petitions Committee to be functionally equivalent to a course or combination of courses offered through the University of Santa Clara's M.B.A. Program.



# **EQUIVALENCY RULES**

|  |   | EQUIVALENCY RULES   |                                  |
|--|---|---|----------------------------------|
| COURSE   | COURSE OR<br>PROFICIENCY  | IF THE STUDENT HAS AN EQUIVALENT OF:  | THEN THE STUDENT IS REQUIRED TO: |
| Prerequisite to 71.293   | Coilege Aigebra<br>Proficiency  | 3 or more quarter units in College Algebra*<br>or passes the appropriate portion of the<br>Mathematics Leveling Examination   | enroli in 71.293                 |
| Remediai   | 71.293 Mathe-<br>matical Analysis   | 3 or more quarter units in a course with substantive coverage of both differential and integral calculus* or passes the appropriate portion of the Mathematics Leveling Examination   | waive 71.293                     |
| Tool   | 13.231 Economic<br>Analysis of the<br>Firm  | 3 or more quarter units equivalent to a Price Theory or Managerial Economics course* (a Principies of Microeconomics course is not equivalent) or passes the Microeconomics Leveling Examination  | walve 13.231                     |
|  | 70.216A Financial Accounting  | completed the full sequence of Accounting* (minimum 6 quarter units) or passes the Financial Accounting Leveling Examination  | waive 70.216A                    |
| 71.200A Statistical Methods  71.201 Decision Science  71.294 Computer Laboratory | 70.217A Manager-<br>ial Accounting  | completed one course in Manageriai Accounting* and one course in Cost Accounting* (minimum of 6 quarter units beyond Principles course(s)) or passes the Managerial Accounting Leveling Examination   | waive 70.217A                    |
|  | completed two courses (minimum of 6 quarter units)* In Statistics with substantive coverage of inferential statistics, regression, and ANOVA or passes the Statistical Methods Leveling Examination | waive 71.200A   |                                  |
|  |   | completed one course in Management Science* (minimum of 3 quarter units) with substantive coverage of the same topics in 71.201 or passes the Decision Science Leveling Examination   | waive 71.201                     |
|  |   | completed one course* (minimum of 3 quarter units) in a computer language considered equivalent to Dartmouth BASIC or passes the Computer Laboratory Leveling Examination   | walve 71.294                     |
|  |   | completed one course* (minimum of 3 quarter units) with substantive coverage of Organization Theory or passes the Management Leveling Examination   | waive 73.260                     |
|  | 73.265 Legai,<br>Sociai, Political<br>Environment   | a degree in Business Administration from an AACSB accredited undergraduate program or one course* (minimum of 3 quarter units) in the Legai, Sociai, Political Environment, of Business (a course or courses in Business Law is not acceptable) | walve 73.265                     |
|  |   |   |                                  |



# **EQUIVALENCY RULES (con't.)**

| Functional<br>Area | 71.208 Production<br>Management | two courses in Production Management* (minimum of 6 quarter units)  | substitute an<br>advanced Quantita-<br>tive Methods course<br>for 71.208   |
|--------------------|---------------------------------|---|--|
|                    | 72.280 Marketing<br>Management  | two courses in Marketing Management* (minimum of 6 quarter units)   | substitute an advanced Marketing course for 72.280   |
|                    | 74.245 Financial<br>Management  | two courses in Financial Management* (minimum of 6 quarter units)   | substitute an advanced Finance course for 72.245   |
| Core               | Economics Core                  | one course in upper division Macroeconomics* (minimum of 3 quarter units). A Principles of Macroeconomics course is not equivalent. | substitute an advanced Economics course for 13.232   |
|                    | M.i.S. Core                     | undergraduate degree with a major in MIS or computer science with a g.p.a. in major of 3.0/4.0                                      | substitute an elective for 71.207  |
|                    | Marketing Core                  | undergraduate degree from an AACSB accredited program with a major in Marketing with a g.p.a. of 3.0/4.0 in major                   | substitute an advanced<br>Marketing course (not<br>including 72.282 or<br>72.291) for Marketing<br>Core                    |
|                    | Management Core                 | undergraduate degree from an AACSB accredited program with a major in Management with a g.p.a. of 3.0/4.0 in major                  | substitute an advanced<br>Management course for<br>73.268  |
|                    | Finance Core                    | undergraduate degree from an AACSB accredited program with a major in Finance with a g.p.a. of 3.0/4.0 in major                     | substitute an advanced<br>Finance course for<br>74.246   |
|                    | international<br>Business Core  | undergraduate degree from an AACSB accredited program with a major in international Business with a g.p.a. of 3.0/4.0 in major      | substitute an elective<br>(not including 72.278,<br>73.270, 74.251, or<br>74.254) for the Interna-<br>tional Business Core |
| Electives          | Eiectives                       | graduate credit in an acceptable program equiva-<br>lent to one or more graduate electives (up to a<br>total of 6 units)            | credit granted for a specific course(s) or as a free elective (up to six units)  |
| Capstone           | 73.266 Business<br>Policies     | nothing is considered equivalent to the capstone course   |  |

<sup>\*</sup>a grade of B or better in all courses Is required. Relevant courses must have been completed within six years prior to acceptance in the M.B.A. Program.



"The material presented in the MBA Program contributed greatly to achieving a better understanding of the business aspects of my present position and enabling me to better interrelate various functions such as marketing planning, investment analysis, etc. into a cohesive whole."

Patrick J. Woods, B.S. New York State Maritime College, M.S., Penn State, MBA (1976), Santa Clara, Manager, Nuclear Service Sales, General Electric, San Jose, California.

Enrollment in Courses Waived or for Which Substitution is Required. Students who enroll in courses waived from their requirements for the M.B.A. degree or in courses for which substitution is required should do so with the understanding that:

- 1. they will be required to pay full tuition for the course as well as any other fees required of them to register for it,
- 2. their grade will not be counted within their grade point averge unles they receive a "D" or "F", in which case they will be required to successfully pass said course in order to graduate, and
- 3. they will not be permitted to receive any tuition refund if they withdraw from the course.

As an alternative to enrolling in such courses, students are advised to challenge the initial evaluation of the Petitions Committee regarding courses that the Committee considers equivalent to those in the M.B.A. Program but that the student does not believe are equivalent. Such petitions will be considered no later than the beginning of the student's third term in residence.

Program Changes. As long as a student continues in residence each quarter until completion of the degree, the student may follow all regulations of the bulletin at the time of the first registration. The student may, upon written petition to the M.B.A. Petitions Committee, receive permission to follow new requirements in a subsequent bulletin. Such a request, if granted, is irreversible. In addition, combining the requirements of one bulletin with the requirements of another to form a hybrid set of requirements will not be permitted.

"The Program successfully blended the analytical skills demanded in today's business world with the sensitivities of interpersonal skills. I not only learned how to analyze the problem correctly, but how to effectively implement the decision within the organization."

**Thomas Haw, IV,** B.A., University of Michigan, MBA (1975), Santa Clara, Division Manager, Blake, Muffitt, and Towne Paper Company, San Francisco.

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